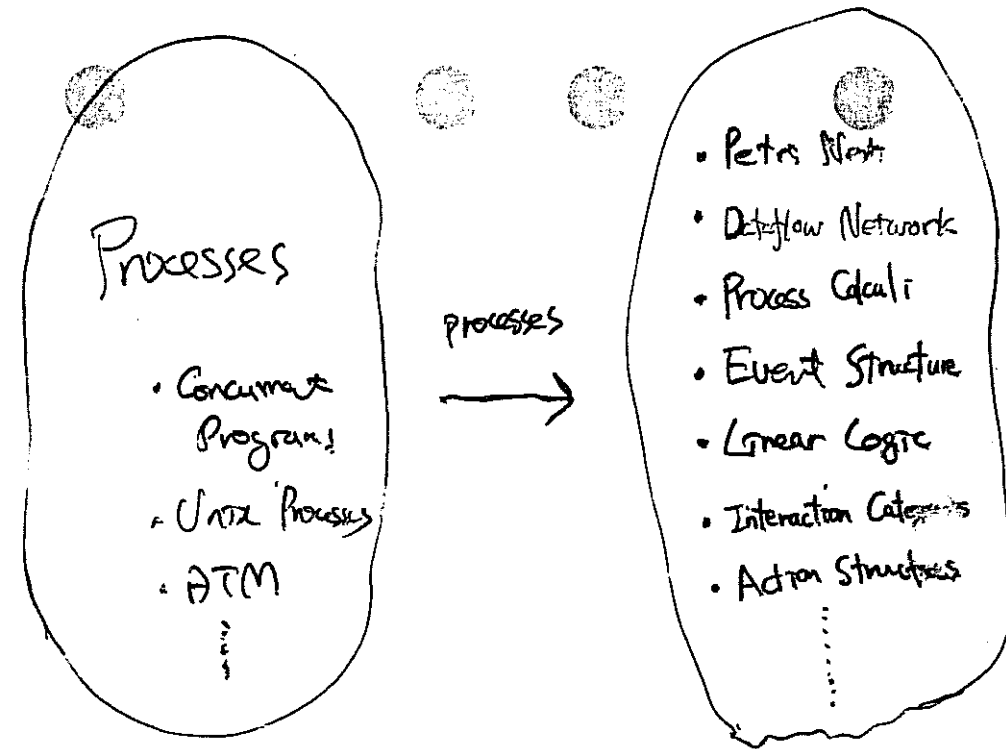


Modelling Processes.

Processes - An Elementary Approach

Kohei Honda

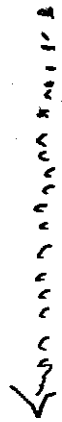
Edinburgh University



Starting Point.

π -Calculus. ([MPW89] \rightarrow [Milner90])

$$P ::= ax.P \mid \bar{a}v.Q \mid P|Q \mid (va)P \mid !ax.P \mid 0$$



(via asynchronous calculus)
 $ab.P \Rightarrow \bar{a}b.$

CC ([HY94a, HY94b])

$$P ::= \sum_{(a_1 \dots a_n)}^{(n)} \mid (va)P \mid P|Q \mid 0$$

$\{\zeta_1^{(n)}, \zeta_2^{(n)}, \dots\}$: a finite set of atoms

Process and Names.

• What are names for?

• variables, identifiers, ...

• No structural difference between:

$a.b.0$ and $e.f.0$

• Indeed:

structure
correspondence

$a.btb.a \sim a|b$

$e.f+ f.e \sim f|e$ etc.

Rooted Process Structure (1)

Definition.

Fix N , a set of names (a, b, c, \dots).
Then a rooted process structure is given by:

• \mathcal{P} , a set of processes. (P, Q, R, \dots)

• $\text{FN}: \mathcal{P} \rightarrow 2^N$ the free name function.

• $[\sigma]: \mathcal{P} \rightarrow \mathcal{P}$ for each bijection σ on N s.t.

$$(i) \mathcal{P}[\sigma_2 \circ \sigma_1] = \mathcal{P}[\sigma_1][\sigma_2]$$

$$(ii) \forall a \in \text{FN}(\mathcal{P}), \sigma(a) = a \Rightarrow \mathcal{P}[\sigma] = \mathcal{P}$$

$$(iii) \text{FN}(\mathcal{P}[\sigma]) = \sigma(\text{FN}(\mathcal{P}))$$

Rooted Process Structure (2)

Examples of Rooted Process Structures

(1) Any process calculi we know, possibly modulo structural equality.

$$\bullet P1Q \equiv Q1P \quad (P1Q)R \equiv P(Q1R) \dots$$

Then: $[\mathcal{P}]_{\equiv}$ is a Rooted Process.

(2) Any (parallel) programming languages we know.

• Variables = names.

(3) λ -calculus.

Algebra of RPS (2)

Prop.

Let \sim be a congruence on \mathcal{P} .

Define \mathcal{P}/\sim :

(1) Processes: $\{[P]_{\sim}\}$

(2) Free Names: $FN([P]_{\sim}) \stackrel{\text{def}}{=} \bigcap_{P' \sim P} \{FN(P')\}$

(3) Renaming: $[P]_{\sim}[\sigma] \stackrel{\text{def}}{=} [P[\sigma]]_{\sim}$.

Then \mathcal{P}/\sim is an RPS.

Algebra of RPS (3)

Prop.

Let \mathcal{P} and \mathcal{Q} be process structures.

Then define $\mathcal{P} \times \mathcal{Q}$ by:

(1) Processes: $\{\langle P, Q \rangle \mid P \in \mathcal{P}, Q \in \mathcal{Q}\}$

(2) Free names: $FN(\langle P, Q \rangle) \stackrel{\text{def}}{=} FN(P) \cup FN(Q)$

(3) Renaming: $\langle P, Q \rangle[\sigma] \stackrel{\text{def}}{=} \langle P[\sigma], Q[\sigma] \rangle$.

Then $\mathcal{P} \times \mathcal{Q}$ is a process structure with the usual universality property.

RPS and RPS_{rel}

• RPS (functional universe)

objects: Process structures.

arrows: Homomorphisms.

• RPS_{rel} (relational universe)

objects: Process structure.

arrows: Computable Relations equipped

arith:

$\cap, \cup, (\cdot)^0, -, (\cdot)^c$

Passage to Pinaapples

$a(x), a(x), c(x), c(x),$
 $c(x), P$

ab.P

$a(x), \bar{x} \vee + a(x), \bar{x} \vee +$

...

\Rightarrow



Symmetry (1).

Definition.

A symmetry σ of a process $P \in \mathcal{P}$ is

a permutation over $\text{FN}(P)$ such that

$$P[\sigma] = P$$

$$Dcabc) \sim Dc\sigma c b)$$

$$Dcabc) \stackrel{\text{all ax. (T\&D\&C)}}{=} \text{ax. (T\&D\&C)}$$

Prop.

The set of symmetries of P , written $\text{Sym}(P)$

forms a permutation group.

of isotropy, stabilizer.

Symmetry (2).

• The symmetry-representation of

$$\underline{P; P(\sigma), P(\sigma^2), \dots} \quad \text{Sym}(P)$$

$$\underline{Q; Q(\sigma), Q(\sigma^2), \dots} \quad \text{Sym}(Q)$$

$$\underline{R; R(\sigma), R(\sigma^2), \dots} \quad \text{Sym}(R)$$

⋮

⋮

* Each orbit (consisting of INFINITE processes) is represented by ONE $\text{Sym}(P)$

Symmetry (3)

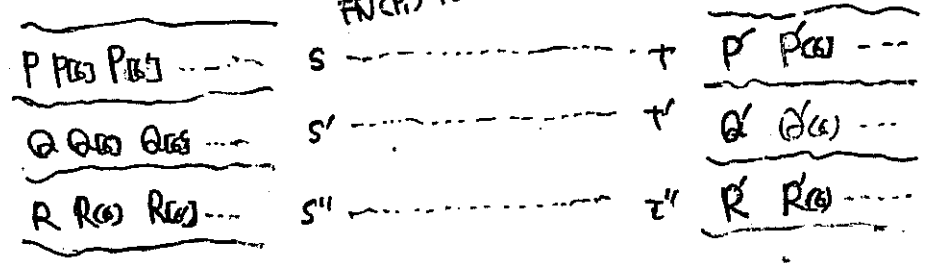
Prop (symmetries).

P_1 and P_2 are isomorphic iff there is a bijection ψ between their symmetry representations such that:

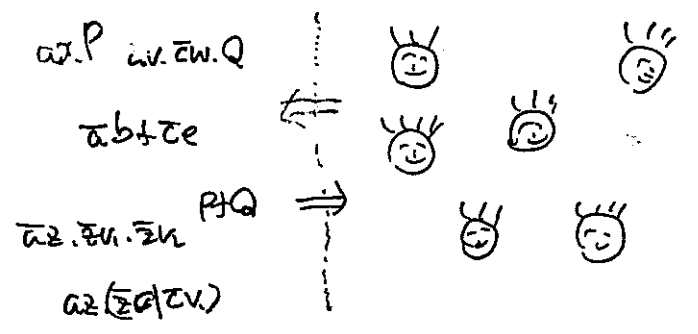
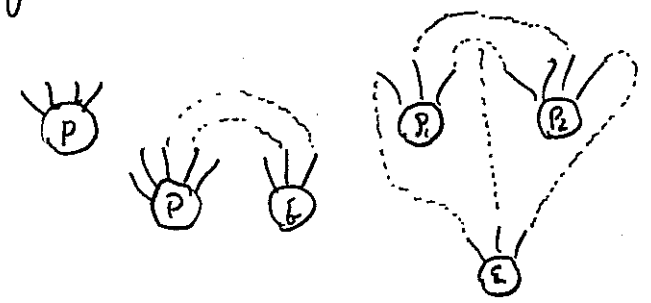
$$\psi(\text{Sym}(P_1)) = \text{Sym}(P_2)$$

$$\Rightarrow \exists G. \text{Sym}(P_1) = G \cdot \text{Sym}(P_2) \cdot G^{-1}$$

bijection from $\text{FN}(P_1)$ to $\text{FN}(P_2)$.

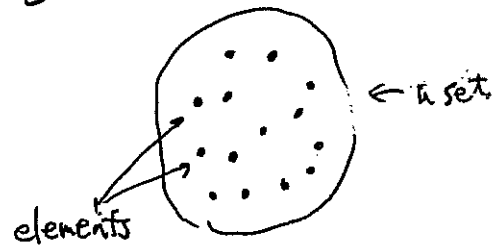


Theory of Nameless Processes and Equivalence Theorem

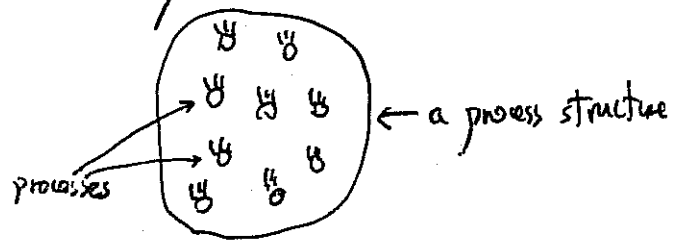


What is a Process Structure?

* Set theory provides a way to manipulate elements collectively.



* Theory of Process Structure offers a way to manipulate processes collectively.

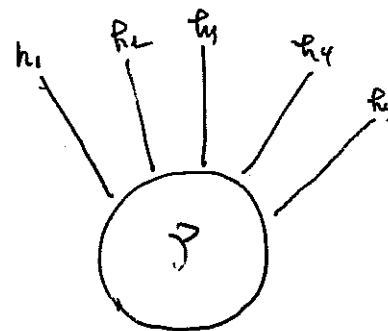


Process Structure (i)

Def.

A process structure P is given by:

- (i) P : A set of pure processes (p, q, r, \dots)
- (ii) $\mathcal{H}(p)$: Handles of p ; finite.
- (iii) $\mathcal{S}(p)$: Symmetries of p , a permutation group over $\mathcal{H}(p)$.



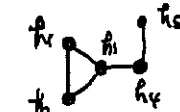
$$\left\{ \begin{array}{l} (h_1 h_2) \\ (h_2 h_1) \\ \text{id}_{\mathcal{H}(p)} \end{array} \right\}$$

Process Structure (3)

* Examples of process structure?

(1) Any set. (P) = an element.

(2) Dataflow, Proof Net, π -net. 

(3) A set of graphs. 

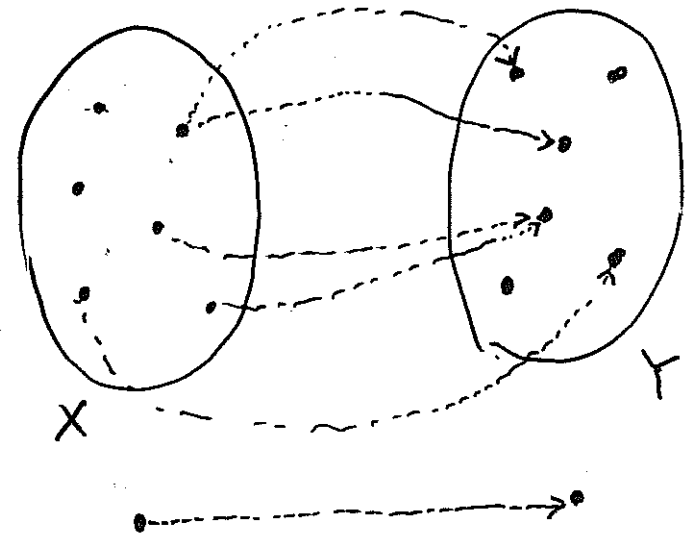
(4) A set of arrows on a category.

(5) An indexed family of permutation groups (Definition!?)

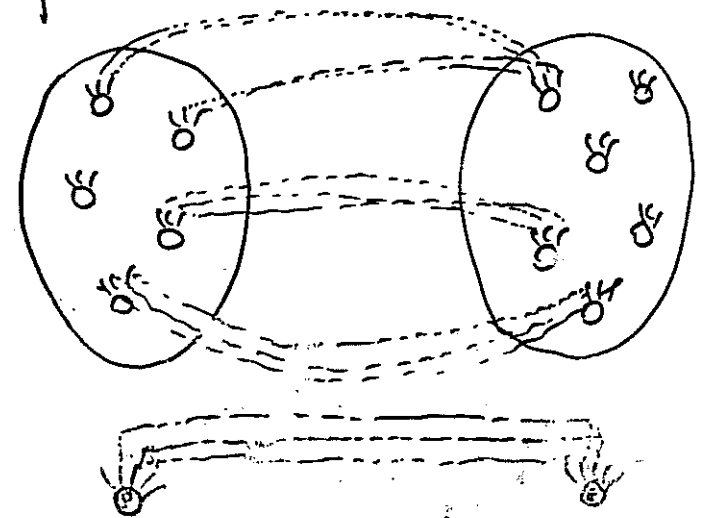
(6) Any-symmetry presentation.

Relating Processes.

• In sets we have:



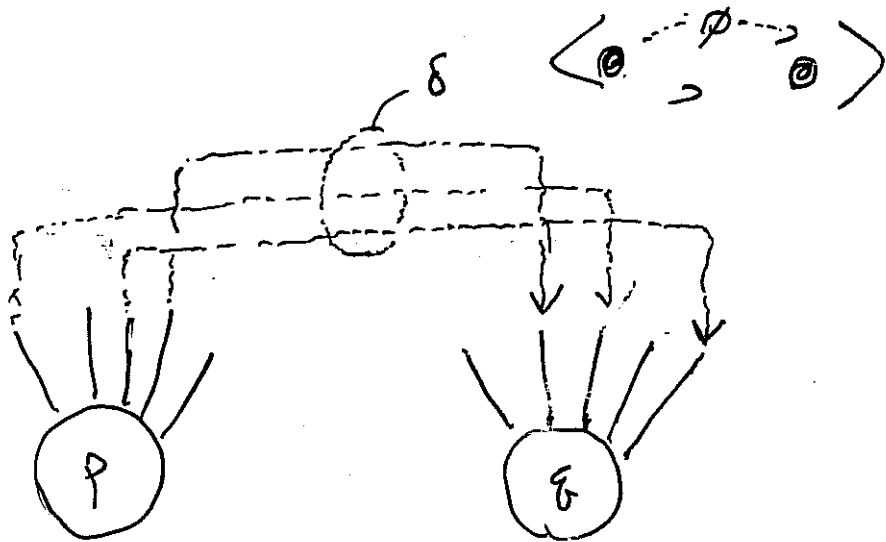
• For processes we get:



Correspondence (1)

Def.

A correspondence from P to Q is a triple $\langle P, \delta, Q \rangle$ where δ is a partial injection from $\mathcal{H}(P)$ to $\mathcal{H}(Q)$.



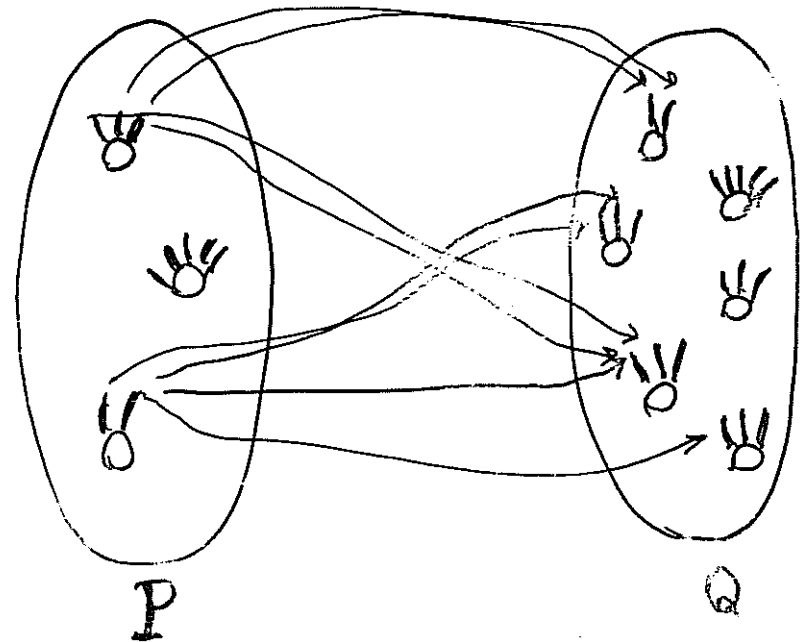
$$* \langle P, \delta, Q \rangle^{-1} = \langle Q, \delta^{-1}, P \rangle$$

P-relation and P-map (1)

Def.

Given P and Q , a P -relation \mathcal{R} is a set of correspondences from processes in P to processes in Q s.t.

$$\langle P, \delta, Q \rangle \in \mathcal{R} \text{ and } \delta \sim \delta' \Rightarrow \langle P, \delta', Q \rangle \in \mathcal{R}$$

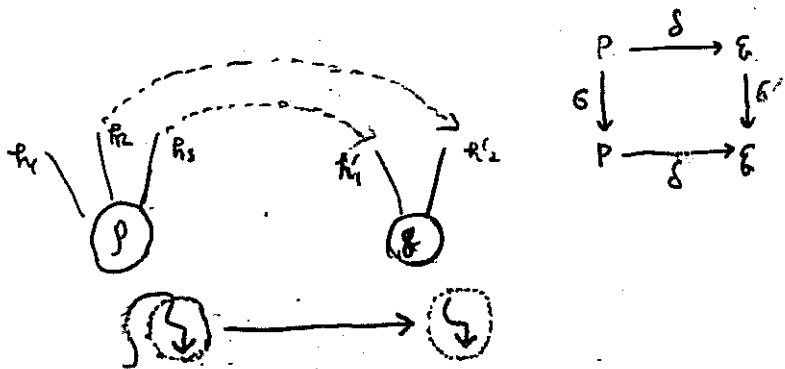


P-relation and P-map (2)

Def

A relation $R: P \rightarrow Q$ is a p-map iff!

- (1) (totality and uniqueness) For each $p \in P$, there is a unique $\langle p, \delta, \varepsilon \rangle \in R$ up to \sim .
- (2) (ind. surjectivity) $\langle p, \delta, \varepsilon \rangle \in R \Rightarrow \delta$ surjective.
- (3) (symmetry preservation) If $\langle p, \delta, \varepsilon \rangle \in R$ then:
 $\forall \sigma \in \text{Scp}. \exists \sigma' \in \text{Scp}. \sigma \circ \delta = \sigma' \circ \delta$.



P-relation and P-map (3)

Prop.

If $F_1: P \rightarrow Q$ and $F_2: Q \rightarrow R$ is a p-map, then $F_2 \circ F_1$ is again a p-map.

Proof! For uniqueness, assume

$$\langle p, \delta_1, \varepsilon \rangle \in F_1, \quad \langle \varepsilon, \delta_2, \sigma \rangle \in F_2.$$

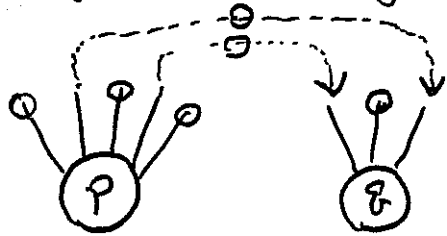
Then!

$$\sigma_3 \circ \delta_2 \circ \sigma_2 \circ \delta_1 \circ \sigma_1 = \sigma_3 \circ \sigma_3' \circ \delta_2 \circ \delta_1 \circ \sigma_1$$

$$\sim \delta_2 \circ \delta_1 \quad \square$$

Product.

* A correspondence is a process:

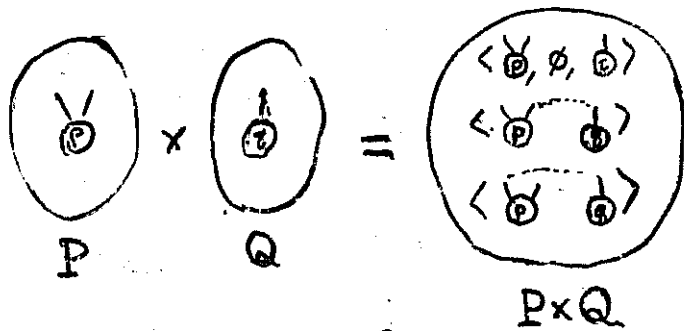


with: $S(\langle p, \delta, q \rangle) \stackrel{\text{def}}{=} \{ \langle a, b \rangle \mid \delta = b_2 \circ \delta \circ b_1 \}$

* $P \times Q$: all correspondences from P to Q .

- Usual universality.

- Note:



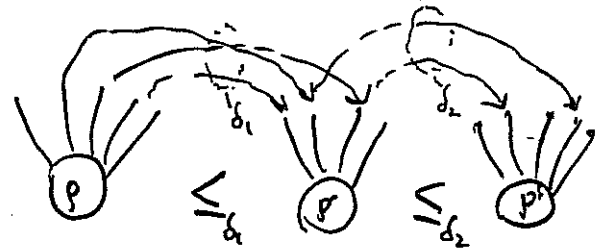
$|x| = 3.$

Pre-order, Equivalence, Quotient. (1)

* A pre-order \mathcal{R} over P means:

$$\mathcal{R} \supseteq \text{ID}_P$$

$$\mathcal{R} \supseteq \mathcal{R} \circ \mathcal{R}$$

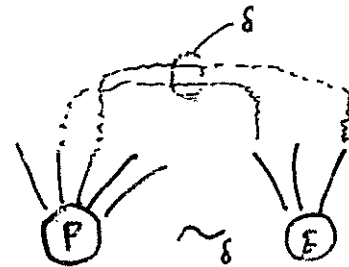


* An equivalence \mathcal{R} over P means:

$$\mathcal{R} \supseteq \text{ID}_P$$

$$\mathcal{R} \supseteq \mathcal{R} \circ \mathcal{R}$$

$$\mathcal{R}^{-1} = \mathcal{R}$$



$$a \sim_{\delta} (a|c.b)$$

Pre-order, Equivalence, and Quotient (2)

Def.

A quotient of P by an equivalence \sim , written P/\sim , is given by:

(i) Processes: $\{[p]_{\sim} \mid p \in P\}$. Select $p \in [p]_{\sim}$ for each equivalence class.

(ii) Handles: $\mathcal{H}([p]_{\sim}) \stackrel{\text{def}}{=} \mathcal{H}(p) [\sim]$
i.e. $\bigcap \{S(\mathcal{H}(p)) \mid p \sim_S p\}$



(iii) Symmetries: $S([p]_{\sim}) \stackrel{\text{def}}{=} \{ \theta \mid \mathcal{H}([p]_{\sim}) \mid p \sim_{\theta} p \}$

Prop.

P/\sim is a process structure. Moreover different choices of representatives result in isomorphic structures.

PS and PS_{rel} (2)

• PS_{rel}^+

objects: as in PS_{rel} .

arrows: as in PS_{rel} .

composition:

$$R_1; R_2 = \{ \delta \geq \delta_1, \delta_2 \mid \delta_1 \in R_1 \wedge \delta_2 \in R_2 \}$$

Main Result

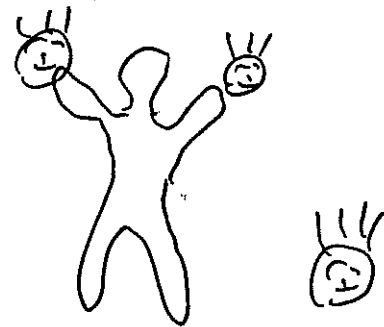
Theorem

PS and RPS are categorically equivalent.

Remark: PS_{rel} and RPS_{rel} are not equivalent. But PS_{rel}^+ and RPS_{rel} are.

How to use Principles.

— Application to Types for Concurrency —



PS and PS_{rel.} (1)

• PS.

Objects: Process structures.

Arrows: P-maps.

- $ID_P: \{ \langle P, G, P \rangle \mid P \in P, G \in S(P) \}$

• - Isomorphisms: A p-map F s.t. F^{-1} is also a p-map.

• PS_{rel.}

objects: Process structures.

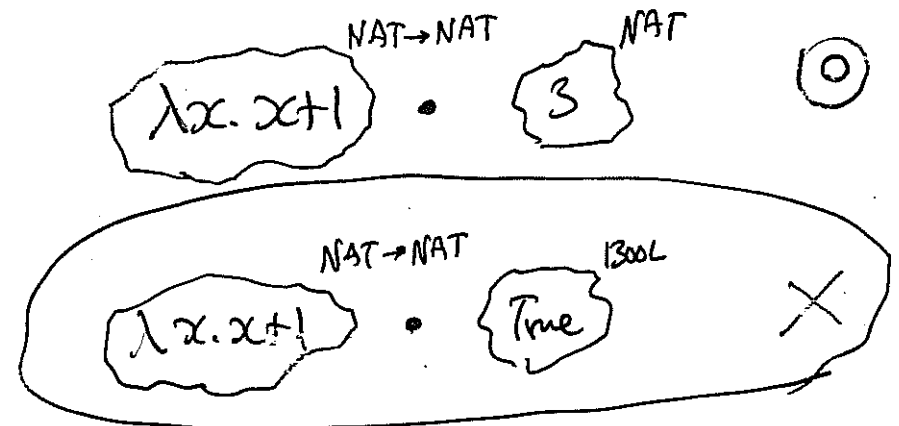
Arrows: P-relation with operations:

$$\cap \cup (-)^{\circ} - (-)^{\circ}$$

- ID and iso's are as PS.

Basic Idea (1)

• Composition of functions:

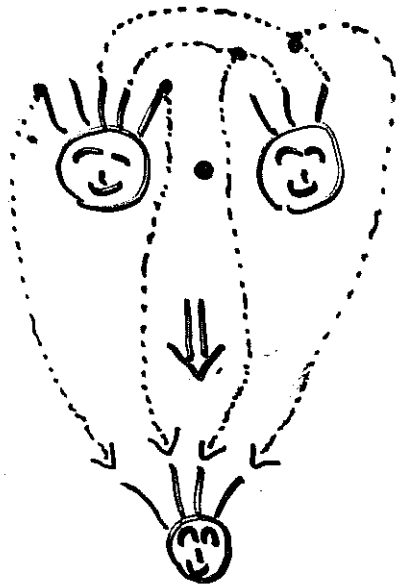


• The underlying partial algebra of types controls program composability.

$$\begin{cases} (NAT \rightarrow NAT) * NAT = NAT \\ (NAT \rightarrow NAT) * BOOL = \text{Undefined} \end{cases}$$

Basic Idea (2)

- When it comes to processes, composition becomes:



cf.
3 + 5

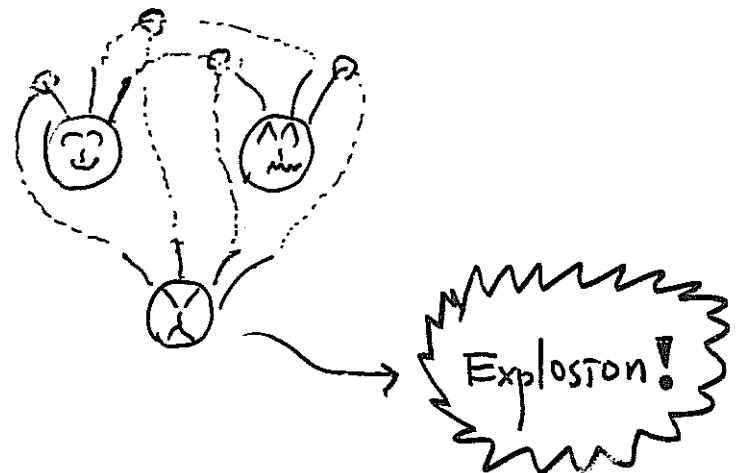


8

$P \otimes Q \mapsto R$

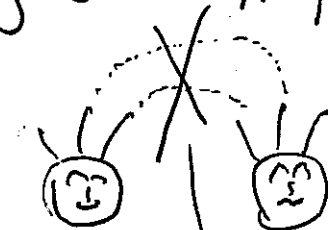
Basic Idea (3)

- But some composition is dangerous!



- divergence
- deadlock
- run-time error
- ...

- Therefore we type processes,



The connection is prohibited.

Discussions

- What algebraic theories do we get from the name-free presentation?
- What semantic space does the present theory suggest for concurrent computation? (cf. Girard).
- Applications of symmetries:
 - Axiomatization of "name passing".
 - Separation results by Plotkin.
 - Game semantics.