# Multiparty Compatibility in Communicating Automata: Characterisation and Synthesis of Global Session Types

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**Abstract.** Multiparty session types are a type system that can ensure the safety and liveness of distributed peers via the global specification of their interactions. To give a semantic justification of multiparty session types, this article explores the problem of fully characterising two classes of multiparty session types in terms of communicating automata. The sound and complete characterisation is then used for constructing of a global specification from a set of distributed uncontrolled behaviours. We equip global and local session types with labelled transition systems (LTSs) that faithfully represent asynchronous communications through unbounded buffered channels. Using the equivalence between the global and local LTSs, we identify two classes of communicating automata that exactly correspond to the projected local types of two different multiparty session type theories. We exhibit an algorithm to synthesise a global type from a collection of communicating automata. The key property of our findings is the notion of *multiparty compatibility* which non-trivially extends the duality condition for binary session types.

*Key words:* session types, global types, communicating automata, sound and complete characterisation, safety properties *ACM classification:* F.1.1, F.1.2, D.3.1, D.3.3.

#### ACM classification: F.1.1, F.1.2, D.3.1, D.3

# 1 Introduction

Over the last decade, *session types* [22, 31] have been studied as data types or functional types for communications and distributed systems. A recent discovery by [7, 33], which establishes a Curry-Howard isomorphism between binary session types and linear logics, confirms that session types and the notion of duality between type constructs have canonical meanings. Multiparty session types [23, 3] were proposed as a major generalisation of binary session types. They can enforce communication safety and deadlock-freedom for more than two peers thanks to a choreographic specification (called *global type*) of the interaction. Global types are projected to end-point types (*local types*), against which processes can be statically type-checked and verified to behave correctly.

The motivation of this article comes from our practical experiences that, in many situations, even where we start from the end-point projections of a choreography, we need to reconstruct a global type from distributed specifications. End-point specifications are usually available, either through inference from the control flow, or through

existing service interfaces, and always in forms akin to individual communicating finite state machines. If one knows the precise conditions under which a global type can be constructed (i.e. the conditions of synthesis), not only the global safety property which multiparty session types ensure is guaranteed, but also the generated global type can be used as a refinement and be integrated within the distributed system development lifecycle (see § 7 for applications [30, 29]). This article attempts to give the synthesis condition as a sound and complete characterisation of multiparty session types with respect to Communicating Finite State Machines (CFSMs) [6], also known as Communicating Automata. CFSMs are a classical model for protocol specification and verification. Before being used in many industrial contexts, CFSMs have been a pioneer theoretical formalism in which distributed safety properties could be formalised and studied. Building a connection between communicating automata and session types allows to answer some open questions in session types which have been asked since [22]. The first question is about expressiveness: to which class of CFSMs do session types correspond? The second question concerns the semantical correspondence between session types and CFSMs: how do the safety properties that session types guarantee relate to those of CFSMs? The third question is about efficiency: why do session types provide efficient algorithms for type-checking while general CFSMs are undecidable? CFSMs can been also seen as generalised end-point specifications, therefore an excellent target for a common comparison ground for protocol specification languages and for synthesis. As explained below, to identify a complete set of CFSMs for synthesis and to answer the three questions above, we first need to find out the canonical duality notion in multiparty session types.

**Characterisation of binary session types as communicating automata** The subclass which fully characterises *binary session types* was actually proposed by Gouda, Manning and Yu in 1984 [20] in a pure communicating automata context. Consider a simple business protocol between a Buyer and a Seller from the Buyer's viewpoint: Buyer sends the title of a book, Seller answers with a quote. If Buyer is satisfied by the quote, then he sends his address and Seller sends back the delivery date; otherwise it retries the same conversation. This can be described by the following session type:

$$\mu$$
t.!title; ?quote; !{ ok :!addrs; ?date;end, retry : t } (1.1)

where the operator !title denotes an output of the title, whereas ?quote denotes an input of a quote. The output choice features the two options ok and retry and ; denotes sequencing. end represents the termination of the session, and  $\mu$ t is recursion.

The simplicity and tractability of binary sessions come from the notion of *duality* in interactions [19]. The interaction pattern of the Seller is fully given as the dual of the type in (1.1) (exchanging input ! and output ? in the original type). When composing two parties, we only have to check they have mutually dual types, and the resulting communication is guaranteed to be deadlock-free. Essentially the same characterisation is given in communicating automata. Buyer and Seller's session types are represented by the two machines of Figure 1.

We can observe that these CFSMs satisfy three conditions. First, the communications are *deterministic*: messages that are part of the same choice, ok and retry here, are distinct. Secondly, there is no mixed state (each state has either only sending actions or



Fig. 1. Two dual communicating automata: Buyer and Seller

only receiving actions). Third, these two machines have *compatible* traces (i.e. dual): the Seller machine can be defined by exchanging sending to receiving actions and vice versa. Breaking one of these conditions allows deadlock situations and omitting one of the first two conditions makes the compatibility checking undecidable [20, 32].

**Multiparty compatibility** This notion of duality is no longer effective in multiparty communications, where the whole conversation cannot be reconstructed from only a single behaviour. To bypass the gap between binary and multiparty, we take the *synthesis* approach, that is to find conditions which allow a global choreography to be built from the local machine behaviour. Instead of directly trying to decide whether the communications of a system will satisfy safety (which is undecidable in the general case), inferring a global type is sufficient to guarantee the safety as a direct consequence.

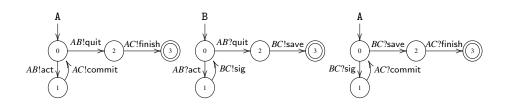


Fig. 2. Commit example: CFSMs

We give a simple example to illustrate the problem. The Commit protocol in Figure 2 involves three machines: Alice A, Bob B and Carol C. A orders B to act or quit. If act is sent, B sends a signal to C, and A sends a commitment to C and continues. Otherwise B informs C to save the data and A gives the final notification to C to terminate the protocol.

This article presents a decidable notion of *multiparty compatibility* as a generalisation of duality of binary sessions, which in turns characterises a synthesis condition. The idea is to check the duality between each automaton and the rest, up to the internal communications (1-bounded executions in the terminology of CFSMs, see § 2) that the other machines will independently perform. For example, in Figure 2, to check the compatibility of trace *AB*!quit *AC*!finish in A, we observe the dual trace *AB*?quit  $\cdot AC$ ?finish from B and C executing the internal communications between B and C such that *BC*!save  $\cdot BC$ ?save. If this extended duality is valid for all the machines from any 1-bounded reachable state, then they satisfy multiparty compatibility and can build a well-formed global choreography. **Contributions and Outline** This article is an expanded version of [17]. The additional results newly presented in this article are (1) the case of a class of multiparty session types which is not included in [17]; and (2) the soundness which is not stated in [17]. In addition, we have given the full definitions, complete proofs and more examples. A extensive discussion of recent related work is also added.

Section 2 gives definitions of CFSMs and their safety and liveness properties. Section 3 defines new labelled transition systems for global and local types that represent the abstract observable behaviour of typed processes. We prove that a global type behaves exactly as its projected local types, and the same result between a single local type and its CFSMs interpretation. These correspondences are the key to prove the main theorems.

Section 4 studies the class of *sequential CFSMs*, where at any moment only one machine is allowed to send messages (this class is not included in [17]). In this class, the condition of local machines can directly identify a sound and complete characterisation of a subclass of multiparty session types studied in [4, 10].

Section 5 first defines the notion of *multiparty compatibility* and studies its safety and liveness properties. Secondly, we prove the soundness theorem: a set of CFSMs which are a translation of a global type are multiparty compatible. The first and second results link the safety properties of CFSMs and those of multiparty session types.

Section 6 develops an algorithm for the synthesis of global types from CFSMs. Then we prove the completeness results between global types and multiparty compatible CF-SMs.

Section 7 discusses related work and Section 8 concludes. Appendix contains the detailed proofs omitted from the main sections.

#### **2** Communicating Finite State Machines

This section starts from some preliminary notations (following [10]).  $\varepsilon$  is the empty word. A is a finite alphabet and A\* is the set of all finite words over A. |x| is the length of a word x and x.y or xy the concatenation of two words x and y. Let  $\mathcal{P}$  be a set of *participants* fixed throughout the paper:  $\mathcal{P} \subseteq \{A, B, C, \dots, p, q, \dots\}$ .

**Definition 2.1 (CFSM).** A communicating finite state machine is a finite transition system given by a 5-tuple  $M = (Q, C, q_0, \mathbb{A}, \delta)$  where (1) Q is a finite set of *states*; (2)  $C = \{pq \in \mathbb{P}^2 \mid p \neq q\}$  is a set of channels; (3)  $q_0 \in Q$  is an initial state; (4)  $\mathbb{A}$  is a finite *alphabet* of messages, and (5)  $\delta \subseteq Q \times (C \times \{!, ?\} \times \mathbb{A}) \times Q$  is a finite set of *transitions*.

In transitions, pq!*a* denotes the *sending* action of *a* from process p to process q, and pq?*a* denotes the *receiving* action of *a* from p by q.  $\ell, \ell'$  range over actions and we define the *subject* of an action  $\ell$  as the principal in charge of it: subj(pq!a) = subj(qp?a) = p.

A state  $q \in Q$  whose outgoing transitions are all labelled with sending (resp. receiving) actions is called a *sending* (resp. *receiving*) state. If q has both sending and receiving outgoing transitions, q is called *mixed*. We say q is *directed* if it contains only sending (resp. receiving) actions to (resp. from) the same (identical) participant. A state  $q \in Q$  which does not have any outgoing transition is called *final*. A *path* in M is a finite sequence of  $q_0, \ell_0, q_1, \ldots, \ell_{n-1}, q_n$   $(n \ge 1)$  such that  $(q_i, \ell_i, q_{i+1}) \in \delta$   $(0 \le i \le n-1)$ , and

we write  $q \stackrel{\ell}{\rightarrow} q'$  if  $(q, \ell, q') \in \delta$ . *M* is *connected* if for every state  $q \neq q_0$ , there is a path from  $q_0$  to q. Hereafter we assume each CFSM is connected.

A CFSM  $M = (Q, C, q_0, \mathbb{A}, \delta)$  is *deterministic* if for all states  $q \in Q$  and all actions  $\ell$ ,  $(q, \ell, q'), (q, \ell, q'') \in \delta$  imply q' = q''.<sup>1</sup>

**Definition 2.2 (CS).** A (communicating) system *S* is a tuple  $S = (M_p)_{p \in \mathcal{P}}$  of CFSMs such that  $M_p = (Q_p, C, q_{0p}, \mathbb{A}, \delta_p)$ .

For  $M_p = (Q_p, C, q_{0p}, \mathbb{A}, \delta_p)$ , we define a *configuration* of  $S = (M_p)_{p \in \mathcal{P}}$  to be a tuple  $s = (\vec{q}; \vec{w})$  where  $\vec{q} = (q_p)_{p \in \mathcal{P}}$  with  $q_p \in Q_p$  and where  $\vec{w} = (w_{pq})_{p \neq q \in \mathcal{P}}$  with  $w_{pq} \in \mathbb{A}^*$ . The element  $\vec{q}$  is called a *control state* and  $q \in Q_p$  is the *local state* of machine  $M_p$ .

**Definition 2.3 (reachable state).** Let *S* be a communicating system. A configuration  $s' = (\vec{q}'; \vec{w}')$  is *reachable* from another configuration  $s = (\vec{q}; \vec{w})$  by the *firing of the transition t*, written  $s \rightarrow s'$  or  $s \rightarrow s'$ , if there exists  $a \in \mathbb{A}$  such that either:

- 1.  $t = (q_p, pq!a, q'_p) \in \delta_p$  and (a)  $q'_{p'} = q_{p'}$  for all  $p' \neq p$ ; and (b)  $w'_{pq} = w_{pq}a$  and  $w'_{p'q'} = w_{p'q'}$  for all  $p'q' \neq pq$ ; or
- 2.  $t = (q_q, pq?a, q'_q) \in \delta_q$  and (a)  $q'_{p'} = q_{p'}$  for all  $p' \neq q$ ; and (b)  $w_{pq} = a.w'_{pq}$  and  $w'_{p'q'} = w_{p'q'}$  for all  $p'q' \neq pq$ .

The condition (1-b) puts the content *a* to a channel pq, while (2-b) gets the content *a* from a channel pq. The reflexive and transitive closure of  $\rightarrow$  is  $\rightarrow^*$ . For a transition  $t = (s, \ell, s')$ , we refer to  $\ell$  by act(t). We write  $s_1 \xrightarrow{t_1 \cdots t_m} s_{m+1}$  for  $s_1 \xrightarrow{t_1} s_2 \cdots \xrightarrow{t_m} s_{m+1}$  and use  $\varphi$  to denote  $t_1 \cdots t_m$ . We extend *act* to these sequences:  $act(t_1 \cdots t_n) = act(t_1) \cdots act(t_n)$ .

The *initial configuration* of a system is  $s_0 = (\vec{q}_0; \vec{\epsilon})$  with  $\vec{q}_0 = (q_{0p})_{p \in \mathcal{P}}$ . A *final configuration* of the system is  $s_f = (\vec{q}; \vec{\epsilon})$  with all  $q_p \in \vec{q}$  final. A configuration *s* is *reachable* if  $s_0 \to^* s$  and we define the *reachable set* of *S* as  $RS(S) = \{s \mid s_0 \to^* s\}$ . We define the traces of a system *S* to be  $Tr(S) = \{act(\varphi) \mid \exists s \in RS(S), s_0 \xrightarrow{\varphi} s\}$ .

We now define several properties about communicating systems and their configurations. These properties will be used in § 5 to characterise the systems that correspond to multiparty session types. Let *S* be a communicating system, *t* one of its transitions and  $s = (\vec{q}; \vec{w})$  one of its configurations. The following definitions of configuration properties follow [10, Definition 12].

- 1. *s* is *stable* if all its buffers are empty, i.e.,  $\vec{w} = \vec{\epsilon}$ .
- 2. *s* is a *deadlock configuration* if *s* is not final, and  $\vec{w} = \vec{\epsilon}$  and each  $q_p$  is a receiving state, i.e. all machines are blocked, waiting for messages.
- 3. *s* is an *orphan message configuration* if all  $q_p \in \vec{q}$  are final but  $\vec{w} \neq \emptyset$ , i.e. there is at least an orphan message in a buffer.
- s is an unspecified reception configuration if there exists q ∈ P such that q<sub>q</sub> is a receiving state and (q<sub>q</sub>, pq?a, q'<sub>q</sub>) ∈ δ implies that |w<sub>pq</sub>| > 0 and w<sub>pq</sub> ∉ aA\*, i.e q<sub>q</sub> is prevented from receiving any message from buffer pq.

<sup>&</sup>lt;sup>1</sup> "Deterministic" often means the same channel should carry a unique value, i.e. if  $(q, c!a, q') \in \delta$  and  $(q, c!a', q'') \in \delta$  then a = a' and q' = q''. Here we follow a different definition [10] in order to represent branching type constructs.

A sequence of transitions  $s_1 \xrightarrow{t_1} s_2 \cdots s_m \xrightarrow{t_m} s_{m+1}$  is said to be *k*-bounded if no channel of any intermediate configuration  $s_i$  contains more than *k* messages. More precisely, in each  $w_p q$  in  $s_i$ ,  $|w_p q| \le k$ . We define the *k*-reachability set of *S* to be the largest subset  $RS_k(S)$  of RS(S) within which each configuration *s* can be reached by a *k*-bounded execution from  $s_0$ . Note that, given a communicating system *S*, for every integer *k*, the set  $RS_k(S)$  is finite and computable. We say that a trace  $\varphi$  is *n*-bound, written  $bound(\varphi) = n$ , if at no point in the trace the number of past send actions exceeds the number of past receive actions by *n*. We then define the equivalences: (1)  $S \approx S'$  is  $\forall \varphi$ ,  $\varphi \in Tr(S) \Leftrightarrow \varphi \in Tr(S')$ ; and (2)  $S \approx_n S'$  is  $\forall \varphi$ ,  $bound(\varphi) \le n \Rightarrow (\varphi \in Tr(S) \Leftrightarrow \varphi \in Tr(S'))$ .

The following key properties will be examined throughout the paper as properties that multiparty session type can enforce.

**Definition 2.4 (safety and liveness).** (1) A communicating system *S* is *deadlock-free* (resp. *orphan message-free, reception error-free*) if for all  $s \in RS(S)$ , *s* is not a deadlock (resp. orphan message, unspecified reception) configuration. (2) *S* satisfies the *liveness* property<sup>2</sup> if for all  $s \in RS(S)$ , there exists  $s \longrightarrow^* s'$  such that s' is final.

**Half-duplex systems** Half-duplex systems with two machines enjoy the decidability of these problems with a polynomial time complexity [10], and its subclass called compatible deterministic two machines without mixed states [20, 32] correspond to *binary* session types (see § 1).

We now define two classical generalisations of half-duplex systems to the multiparty case.

#### Definition 2.5 (multiparty half-duplex).

- 1. A system is *strict multiparty half-duplex* if, for all reachable configuration  $(\vec{q}; \vec{w})$ , there is at most one  $i \in \{1, ..., p\}$  such that  $w_i \neq \varepsilon$ .
- A system is *multiparty half-duplex* if, for all reachable configuration s = (q̃; w̃) such that q̃ = (q<sub>1</sub>, ..., q<sub>n</sub>), C = ∪<sub>1≤i≠j≤n</sub>{ij} and w̃ = (w<sub>1</sub>, ..., w<sub>p</sub>) with (p = n × (n − 1)), (1) ij is a unique channel used for sending from q<sub>i</sub> to q<sub>j</sub> (receiving by q<sub>j</sub>), (2) if w<sub>k</sub> ≠ ε with k = ij, then w<sub>h</sub> = ε with h = ji.

The strict multiparty half-duplex definition is called *the restricted generalisation* of half-duplex with two machines in [10, § 4.1.2]. The multiparty half-duplex definition is called *the natural generalisation* in [10, § 4.1.1] where each pair of machines linked by two channels, one in each direction, has a half-duplex communication.

**Theorem 2.1 (multiparty half-duplex).** (Corollary 27, Theorem 36 in [10]) In halfduplex systems with two machines, the properties of Definition 2.4 are decidable. However, in multiparty half-duplex systems with three machines or more, these problems are undecidable.

The above theorem, however, states that an extension to identify a decidable subset of multiparty half-duplex systems is very challenging since multiparty half-duplex systems with three machines are already expressive enough to simulate Turing Machines.

<sup>&</sup>lt;sup>2</sup> The terminology follows [8].

Section 4 presents a subclass of strict multiparty half-duplex systems. Section 5 studies two examples of multiparty half-duplex systems which satisfy the above safety properties. They are given from corresponding global types by the projection algorithms defined in the next section.

## **3** Global and local types: the LTSs and translations

This section presents multiparty session types, our main object of study. For the syntax of types, we follow [3] which is the most widely used syntax in the literature. We introduce two labelled transition systems, for local types and for global types, and show the equivalence between local types and communicating automata.

**Syntax** A global type, written G, G', ..., describes the whole conversation scenario of a multiparty session as a type signature, and a *local type*, written by T, T', ..., type-abstract sessions from each end-point's view.  $p, q, \dots \in \mathcal{P}$  denote participants (see § 2 for conventions). The syntax of types is given as:

$$G ::= \mathbf{p} \to \mathbf{p}' \colon \{a_j.G_j\}_{j \in J} \mid \mu \mathbf{t}.G \mid \mathbf{t} \mid G_1 \mid G_2 \mid \text{end}$$
  
$$T ::= \mathbf{p} : \{a_i.T_i\}_{i \in I} \mid \mathbf{p} : \{a_i.T_i\}_{i \in I} \mid \mu \mathbf{t}.T \mid \mathbf{t} \mid \text{end}$$

 $a_j \in \mathbb{A}$  corresponds to the usual message label in session type theory. We omit the mention of the carried types from the syntax in this paper, as we are not directly concerned by typing processes. Global branching type  $p \to p'$ :  $\{a_j, G_j\}_{j \in J}$  states that participant p can send a message with one of the  $a_i$  labels to participant p' and that interactions described in  $G_j$  follow. We require  $p \neq p'$  to prevent self-sent messages and  $a_i \neq a_k$  for all  $i \neq k \in J$ . Recursive type  $\mu$ t. *G* is for recursive protocols, assuming that type variables (t, t', ...) are guarded in the standard way, i.e. they only occur under branchings. Type  $G_1 \mid G_2$  is a parallel composition. Type end represents session termination (often omitted).  $p \in G$  means that p appears in *G* and fv(G) denotes a set of free type variables in *G*. We identify  $G_1 \mid G_2$  and  $G_2 \mid G_1; (G_1 \mid G_2) \mid G_3$  and  $G_1 \mid (G_2 \mid G_3);$  and end  $\mid G = G$ .

Concerning local types, the *branching type* p? $\{a_i.T_i\}_{i \in I}$  specifies the reception of a message from p with a label among the  $a_i$ . The *selection type* p! $\{a_i.T_i\}_{i \in I}$  is its dual. The remaining type constructors are the same as global types. When branching is a singleton, we write  $p \rightarrow p' : a.G'$  for global, and p!a.T or p?a.T for local.

**Projection** The relation between global and local types is formalised by projection [3, 23]. We define the projection with the merging operator  $\bowtie$  from [15]: it allows each branch of the global type to actually contain different interaction patterns.

**Definition 3.1** (projection). The projection of G onto p (written  $G \mid p$ ) is defined as:

$$p \to p': \{a_j.G_j\}_{j \in J} \upharpoonright q = \begin{cases} p!\{a_j.G_j \upharpoonright q\}_{j \in J} & q = p \\ p?\{a_j.G_j \upharpoonright q\}_{j \in J} & q = p' \\ \sqcup_{j \in J}G_j \upharpoonright q & \text{otherwise} \end{cases}$$
$$(\mu t.G) \upharpoonright p = \begin{cases} \mu t.G \upharpoonright p \quad G \upharpoonright p \neq t \\ \text{end} & \text{otherwise} \end{cases}$$
$$(G_1 \mid G_2) \upharpoonright p = G_1 \upharpoonright p \mid G_2 \upharpoonright p & \text{if } p \notin G_1 \text{ or } p \notin G_2 \text{ and } \mathsf{fv}(G_1) \cap \mathsf{fv}(G_2) = \emptyset$$
$$t \upharpoonright p = t \\ \text{end} & \upharpoonright p = \mathsf{end} \end{cases}$$

where the merging operation  $\sqcup$  is defined as a partial commutative operator over two types such that:

-  $T \sqcup T = T$  for all types;

 $- t \sqcup p?\{a_j.T_j'\}_{j \in J} = p?\{a_j.T_j'\}_{j \in J} \text{ for all } p?\{a_j.T_j'\}_{j \in J}; \text{ or } \\ - p?\{a_k.T_k\}_{k \in K} \sqcup p?\{a_j.T_j'\}_{j \in J} = p?(\{a_k.(T_k \sqcup T_k')\}_{k \in K \cap J} \cup \{a_k.T_k\}_{k \in K \setminus J} \cup \{a_j.T_j'\}_{j \in J \setminus K})$ 

and homomorphic for other types (i.e.  $\mathscr{C}[T_1] \sqcup \mathscr{C}[T_2] = \mathscr{C}[T_1 \sqcup T_2]$  where  $\mathscr{C}$  is a context for local types). The merging operation between  $T_1$  and  $T_2$  is defined only if  $T_1 \bowtie T_2$  holds, where the *mergeability relation*  $\bowtie$  is the smallest congruence relation over local types such that:

$$\frac{\forall i \in (K \cap J).T_i \bowtie T'_i \quad \forall k \in (K \setminus J), \forall j \in (J \setminus K).a_k \neq a_j}{\mathfrak{p}?\{a_k.T_k\}_{k \in K} \bowtie \mathfrak{p}?\{a_j.T'_j\}_{j \in J}} \qquad \mathsf{t} \bowtie \mathfrak{p}?\{a_j.T'_j\}_{j \in J}$$

We say that *G* is *well-formed* if for all  $p \in \mathcal{P}$ ,  $G \upharpoonright p$  is defined.

The condition on parallel composition implies that, in well-formed global types, the set of participants of  $G_1$  and  $G_2$  in  $G_1 | G_2$  are disjoint. It is required to be able to map to local types without using parallel compositions. Similarly the condition of recursive variables

*Example 3.1 (Commit).* The global type for the commit protocol in Figure 2 is:

 $\mu t.A \rightarrow B: \{act. B \rightarrow C: \{sig. A \rightarrow C: commit.t\}, quit.B \rightarrow C: \{save.A \rightarrow C: finish.end\}\}$ 

Then using the mergeability operator, C's local type is given as:

µt.B?{sig.A?{commit.t}, save.A?{finish.end}}

*Example 3.2 (Merging inputs).* We explain a merging rule between an input p? $\{a_j, T'_j\}_{j \in J}$  and a recursive type variable t. Consider the following global type:

 $\mu$ t.A  $\rightarrow$  B:{commit.t, stop.A  $\rightarrow$  C:finish.end}

Then A, B and C's local types,  $T_A$ ,  $T_B$  and  $T_C$  are given as:

 $T_{A} = \mu t.B! \{\text{commit.t, stop.C!finish.end}\}$ 

 $T_{\rm B} = \mu t.A? \{\text{commit.t, stop.end}\}$   $T_{\rm C} = A? \text{finish.end}$ 

To obtain  $T_c$ , we use A?finish.end  $\sqcup t = T_c$ . Later we show a translation of these types into CFSMs are multiparty compatible, hence safe.

Below we show the complexity of the mergeability operator. We define the size of |G| as:  $|\mathbf{p} \rightarrow \mathbf{p}'$ :  $\{a_j.G_j\}_{j\in J}| = 1 + \Sigma_{j\in J}(1+|G_j|), |\mu t.G| = 1 + |G|, |t| = |\mathsf{end}| = 1, \text{ and } |G_1|G_2| = |G_1| + |G_2| + 1$ . We define the maximum degree of labels in G (denoted by  $\max_L(G)$ ) as  $\max_L(\mathbf{p} \rightarrow \mathbf{p}': \{a_j.G_j\}_{j\in J}) = \max(|J|, \max_L(G_j)_{\{j\in J\}}); \max_L(G_1|G_2) = \max(\max_L(G_1), \max_L(G_2)); \max_L(\mu t.G) = \max_L(G); \text{ and } \max_L(\mathbf{t}) = \max_L(\mathsf{end}) = 0.$ 

**Proposition 3.1.** The time complexity of the projection algorithm in Definition 3.1 is  $n \times O(|L| \times (|G| + |L|))$  where n is a number of participants in G, |G| is the size of G and |L| is the maximum degree of labels in G. If  $|L| \ll |G|$ , then  $n \times O(|L| \times |G|)$ .

*Proof.* The size of each local type is bounded by the size of the global type. The number of merging operations for each participant is limited by the size of its local type. Then the merging operator computes a difference of sets of labels in a branching, which is bounded by the maximum degree of labels in *G*. More precisely,  $O(p \rightarrow p': \{a_j.G_j\}_{j \in J})$  is calculated as  $O(|J|^2 + \sum_{j \in J} |G_j|) = O(|J|^2 + (|J| \times \max(|G_j|))) = O(|J| \times (|J| + \max(|G_j|))) = O(|L| \times (|L| + |G|)).$ 

We now present labelled transition relations (LTS) for global and local types and their sound and complete correspondence.

**LTS over global types** We first designate the observables  $(\ell, \ell', ...)$ . We choose here to follow the definition of actions for CFSMs where a label  $\ell$  denotes the sending or the reception of a message of label *a* from p to p':

$$\ell ::= pp'!a \mid pp'?a$$

In order to define an LTS for global types, we need to represent intermediate states in the execution. For this reason, we introduce in the grammar of *G* the construct  $p \rightsquigarrow p': j \{a_i.G_i\}_{i \in I}$  to represent the fact that message  $a_j$  has been sent but not yet received.

**Definition 3.2 (LTS over global types).** The relation  $G \xrightarrow{\ell} G'$  is defined as  $(subj(\ell)$  is defined in § 2):

$$[GR1] \quad \mathbf{p} \to \mathbf{p}' \colon \{a_i.G_i\}_{i \in I} \xrightarrow{\mathbf{p}\mathbf{p}'!a_j} \mathbf{p} \to \mathbf{p}' \colon j \{a_i.G_i\}_{i \in I} \quad (j \in I)$$

$$[GR2] \quad \mathbf{p} \to \mathbf{p}' \colon j \{a_i.G_i\}_{i \in I} \xrightarrow{\mathbf{p}\mathbf{p}'?a_j} G_j \qquad [GR3] \frac{G[\mu \mathbf{t}.G/\mathbf{t}] \xrightarrow{\ell} G}{\mu \mathbf{t}.G \xrightarrow{\ell} G'}$$

$$[GR4] \frac{\forall j \in I \quad G_j \xrightarrow{\ell} G'_j \quad \mathbf{p}, \mathbf{q} \notin subj(\ell)}{\mathbf{p} \to \mathbf{q} \colon \{a_i.G_i\}_{i \in I} \xrightarrow{\ell} \mathbf{p} \to \mathbf{q} \colon \{a_i.G'_i\}_{i \in I}}$$

$$[GR5] \frac{G_j \xrightarrow{\ell} G'_j \quad \mathbf{q} \notin subj(\ell) \quad \forall i \in I \setminus j, G'_i = G_i}{\mathbf{p} \to \mathbf{q} \colon j \{a_i.G_i\}_{i \in I} \xrightarrow{\ell} \mathbf{p} \to \mathbf{q} \colon j \{a_i.G'_i\}_{i \in I}}$$

$$[GR6] \frac{G_1 \xrightarrow{\ell} G'_1}{G_1 \mid G_2 \xrightarrow{\ell} G'_1 \mid G_2} \quad [GR7] \frac{G_2 \xrightarrow{\ell} G'_2}{G_1 \mid G_2 \xrightarrow{\ell} G_1 \mid G'_2}$$

[GR1] represents the emission of a message while [GR2] describes the reception of a message. [GR3] governs recursive types. [GR4,5] define the asynchronous semantics of global types, where the syntactic order of messages is enforced only for the participants that are involved. They are explained in the following example. [GR6,7] define the parallel composition.

*Example 3.3 (LTS of global types).* We give examples of the LTS of global types. When the participants of two consecutive communications are disjoint, as in:  $G_1 = A \rightarrow B$ :  $a.C \rightarrow D$ : *b*.end, we can observe the emission (and possibly the reception) of *b* before the emission (or reception) interactions of *a* (by [GR4]).

A more interesting example is:  $G_2 = A \rightarrow B : a A \rightarrow C : b$ .end. We write  $\ell_1 = AB!a$ ,  $\ell_2 = AB?a$ ,  $\ell_3 = AC!b$  and  $\ell_4 = AC?b$ . The LTS allows the following three sequences:

$$\begin{array}{c} G_2 \xrightarrow{\ell_1} \mathbf{A} \rightsquigarrow \mathbf{B} : a.\mathbf{A} \rightarrow \mathbf{C} : b. \mathrm{end} & \xrightarrow{\ell_2} \mathbf{A} \rightarrow \mathbf{C} : b. \mathrm{end} & \xrightarrow{\ell_3} \mathbf{A} \rightsquigarrow \mathbf{C} : b. \mathrm{end} \xrightarrow{\ell_4} \mathrm{end} \\ G_2 \xrightarrow{\ell_1} \mathbf{A} \rightsquigarrow \mathbf{B} : a.\mathbf{A} \rightarrow \mathbf{C} : b. \mathrm{end} & \xrightarrow{\ell_3} \mathbf{A} \rightsquigarrow \mathbf{B} : a.\mathbf{A} \rightsquigarrow \mathbf{C} : b. \mathrm{end} \xrightarrow{\ell_2} \mathbf{A} \rightsquigarrow \mathbf{C} : b. \mathrm{end} \xrightarrow{\ell_4} \mathrm{end} \\ G_2 \xrightarrow{\ell_1} \mathbf{A} \rightsquigarrow \mathbf{B} : a.\mathbf{A} \rightarrow \mathbf{C} : b. \mathrm{end} & \xrightarrow{\ell_3} \mathbf{A} \rightsquigarrow \mathbf{B} : a.\mathbf{A} \rightsquigarrow \mathbf{C} : b. \mathrm{end} \xrightarrow{\ell_4} \mathbf{A} \sim \mathbf{B} : a. \mathbf{A} \rightarrow \mathbf{C} : b. \mathrm{end} \xrightarrow{\ell_4} \mathrm{end} \\ \end{array}$$

The last sequence is the most interesting: the sender *A* has to follow the syntactic order but the receiver *C* can get the message *b* before *B* receives *a*. The respect of these constraints is enforced by the conditions  $p, q \notin subj(\ell)$  and  $q \notin subj(\ell)$  in rules [GR4,5].

**LTS over local types** We define now the LTS over local types. This is done in two steps, following the model of CFSMs, where the semantics is given first for individual automata and then extended to communicating systems. We use the same labels  $(\ell, \ell', ...)$  as the ones for CFSMs.

**Definition 3.3 (LTS over local types).** The relation  $T \xrightarrow{\ell} T'$ , for the local type of role p, is defined as:

$$[LR1] \mathbf{q}! \{a_i.T_i\}_{i \in I} \xrightarrow{\mathbf{p}\mathbf{q}!a_i} T_i \quad [LR2] \mathbf{q}? \{a_i.T_i\}_{i \in I} \xrightarrow{\mathbf{q}\mathbf{p}?a_j} T_j \quad [LR3] \frac{T[\mu \mathbf{t}.T/\mathbf{t}] \xrightarrow{\ell} T'}{\mu \mathbf{t}.T \xrightarrow{\ell} T'}$$

The semantics of a local type follows the intuition that every action of the local type should obey the syntactic order. We now define the LTS for collections of local types.

**Definition 3.4 (LTS over collections of local types).** A configuration  $s = (\vec{T}; \vec{w})$  of a system of local types  $\{T_p\}_{p \in \mathcal{P}}$  is a pair with  $\vec{T} = (T_p)_{p \in \mathcal{P}}$  and  $\vec{w} = (w_{pq})_{p \neq q \in \mathcal{P}}$  with  $w_{pq} \in \mathbb{A}^*$ . We then define the transition system for configurations. For a configuration  $s_T = (\vec{T}; \vec{w})$ , the visible transitions of  $s_T \stackrel{\ell}{\to} s'_T = (\vec{T}'; \vec{w}')$  are defined as:

- 1.  $T_p \xrightarrow{pq!a} T'_p$  and (a)  $T'_{p'} = T_{p'}$  for all  $p' \neq p$ ; and (b)  $w'_{pq} = w_{pq} \cdot a$  and  $w'_{p'q'} = w_{p'q'}$  for all  $p'q' \neq pq$ ; or
- 2.  $T_q \xrightarrow{pq?a} T'_q$  and (a)  $T'_{p'} = T_{p'}$  for all  $p' \neq q$ ; and (b)  $w_{pq} = a \cdot w'_{pq}$  and  $w'_{p'q'} = w_{p'q'}$  for all  $p'q' \neq pq$ .

The semantics of local types is therefore defined over configurations, following the definition of the semantics of CFSMs.  $w_{pq}$  represents the FIFO queue at channel pq. We write Tr(G) to denote the set of the visible traces that can be obtained by reducing *G*. Similarly for Tr(T) and Tr(S). We extend the trace equivalences  $\approx$  and  $\approx_n$  in § 2 to global types and configurations of local types.

We now state the soundness and completeness of projection w.r.t. the LTSs. The proof is given in Appendix A.1.

**Theorem 3.1 (soundness and completeness).** <sup>3</sup> Let G be a global type with participants  $\mathcal{P}$  and let  $\vec{T} = \{G \mid p\}_{p \in \mathcal{P}}$  be the local types projected from G. Then  $G \approx (\vec{T}; \vec{\epsilon})$ .

**Local types and CFSMs** Next we show how to algorithmically go from local types to CFSMs and back while preserving the trace semantics. We start by translating local types into CFSMs. Below we write  $\mu t t . T$  for  $\mu t_1 . \mu t_2 ... \mu t_n . T$  with  $n \ge 0$ .

**Definition 3.5 (translation from local types to CFSMs).** Write  $T' \in T$  if T' occurs in T. Let  $T_0$  be the local type of participant p projected from G. The automaton corresponding to  $T_0$  is  $\mathcal{A}(T_0) = (Q, C, q_0, \mathbb{A}, \delta)$  where: (1)  $Q = \{T' \mid T' \in T_0, T' \neq t, T' \neq \mu t. T\}$ ; (2)  $q_0 = T'_0$  with  $T_0 = \mu \vec{t}. T'_0$  and  $T'_0 \in Q$ ; (3)  $C = \{pq \mid p, q \in G\}$ ; (4)  $\mathbb{A}$  is the set of  $\{a \in G\}$ ; and (5)  $\delta$  is defined as:

$$\begin{split} \text{If } T &= \texttt{p}' ! \{a_j.T_j\}_{j \in J} \in \mathcal{Q}, \text{ then } \begin{cases} (T, (\texttt{pp}'!a_j), T') \in \delta & T_j = \texttt{\mu} \vec{\texttt{t}}'.T', T' \in \mathcal{Q} \\ (T, (\texttt{pp}'!a_j), T') \in \delta & T_j = \texttt{t}, \ \texttt{\mu} \vec{\texttt{t}}.T' \in T_0, \texttt{t} \in \vec{\texttt{t}}, T' \in \mathcal{Q} \\ (T, (\texttt{pp}'!a_j), T_j) \in \delta & \text{otherwise} \end{cases} \\ \\ \text{If } T &= \texttt{p}'? \{a_j.T_j\}_{j \in J} \in \mathcal{Q}, \text{ then } \begin{cases} (T, (\texttt{p'p}?a_j), T') \in \delta & T_j = \texttt{\mu} \vec{\texttt{t}}'.T', T' \in \mathcal{Q} \\ (T, (\texttt{p'p}?a_j), T') \in \delta & T_j = \texttt{\mu} \vec{\texttt{t}}'.T', T' \in \mathcal{Q} \\ (T, (\texttt{p'p}?a_j), T') \in \delta & T_j = \texttt{t}, \ \texttt{\mu} \vec{\texttt{t}}.T' \in T_0, \texttt{t} \in \vec{\texttt{t}}, T' \in \mathcal{Q} \\ (T, (\texttt{p'p}?a_j), T_j) \in \delta & \text{otherwise} \end{cases} \end{split}$$

The definition says that the set of states Q are the suboccurrences of branching or selection or end in the local type; the initial state  $q_0$  is the occurrence of (the recursion body of)  $T_0$ ; the channels and alphabets correspond to those in  $T_0$ ; and the transition is defined from the state T to its body  $T_j$  with the action pp'! $a_j$  for the output and pp'? $a_j$ for the input. If  $T_j$  is a recursive type variable t, it points the state of the body of the corresponding recursive type.

Example 3.4. As an example, see C's local type in Example 3.1 is:

 $T = \mu t.B?{sig.A?{commit.t}, save.A?{finish.end}}$ 

Applying the translation gives the following transitions:

(B?{sig.A?{commit.t}, save.A?{finish.end}}, (BC?sig), A?{commit.t})

- $(A?\{commit.t\}, (AC?commit), B?\{sig.A?\{commit.t\}, save.A?\{finish.end\}\})$
- $(B?{sig.A?{commit.t}, save.A?{finish.end}}, (BC?save), AC?{finish.end})$
- (A?{finish.end}, (AC?finish), end)

<sup>&</sup>lt;sup>3</sup> The local type abstracts the behaviour of multiparty typed processes as proved in the subject reduction theorem in [23]. Hence this theorem implies that processes typed by global type G by the typing system in [23,3] follow the LTS of G. In this article, we do not treat processes since we can use the same typing system with [3].

The corresponding automaton is drawn in Figure 2.

Local types are simple structures and their translation to CFSM preserves them.

**Proposition 3.2 (local types to CFSMs).** Assume  $T_p$  is a local type. Then  $\mathcal{A}(T_p)$  is deterministic, directed and has no mixed states.

*Proof.* For the determinism, we note that all  $a_i$  in  $p?\{a_i.T_i\}_{i \in I}$  and  $p!\{a_i.T_i\}_{i \in I}$  are distinct. Directdness is by the syntax of branching and selection types. Finally, for non-mixed states, we can check a state is either sending or receiving state as one state represents either branching and selection type.

We say that a CFSM is *basic* if it is deterministic, directed and has no mixed states. Any basic CFSM can be translated into a local type.

**Definition 3.6 (translation from a basic CFSM to a local type).** From a basic  $M_p = (Q, C, q_0, \mathbb{A}, \delta)$ , we define the translation  $\mathcal{T}(M_p)$  such that  $\mathcal{T}(M_p) = \mathcal{T}_{\varepsilon}(q_0)$  where  $\mathcal{T}_{\tilde{q}}(q)$  is defined as:

(1)  $\mathfrak{T}_{\tilde{q}}(q) = \mu \mathfrak{t}_q \cdot p'! \{a_j \cdot \mathfrak{T}_{\tilde{q} \cdot q}^{\circ}(q_j)\}_{j \in J}$  if  $(q, pp'!a_j, q_j) \in \delta$ ; (2)  $\mathfrak{T}_{\tilde{q}}(q) = \mu \mathfrak{t}_q \cdot p'? \{a_j \cdot \mathfrak{T}_{\tilde{q} \cdot q}^{\circ}(q_j)\}_{j \in J}$  if  $(q, p'p?a_j, q_j) \in \delta$ ; (3)  $\mathfrak{T}_{\tilde{q}}^{\circ}(q) = \mathfrak{T}_{\varepsilon}(q) = \mathsf{end}$  if q is final; (4)  $\mathfrak{T}_{\tilde{q}}^{\circ}(q) = \mathfrak{t}_{q_k}$  if  $(q, \ell, q_k) \in \delta$  and  $q_k \in \tilde{q}$ ; and (5)  $\mathfrak{T}_{\tilde{q}}^{\circ}(q) = \mathfrak{T}_{\tilde{q}}(q)$  otherwise.

Finally, we replace  $\mu t.T$  by T if t is not in T.

In  $\mathcal{T}_{\tilde{q}}$ ,  $\tilde{q}$  records visited states; (1,2) translate the receiving and sending states to branching and selection types, respectively; (3) translates the final state to end; and (4) is the case of a recursion: since  $q_k$  was visited,  $\ell$  is dropped and replaced by the type variable.

The following proposition states that these translations preserve the semantics.

**Proposition 3.3 (translations between CFSMs and local types).** If a CFSM M is basic, then  $M \approx T(M)$ . If T is a local type, then  $T \approx A(T)$ .

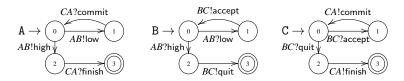
*Proof.* The first clause is by the induction of M using the translation of T. The second clause is by the induction of T using the translation of A. Both are mechanical.  $\Box$ 

# 4 Sequential multiparty session types and sequential communicating automata

This section examines the soundness and completeness characterisation of sequential multiparty sessions, defined by the projection from sequential global types, and which correspond to a subset of systems of basic CFSMs. Sequential automata do not feature any concurrency: at any point of the execution, there is only one role that can do a send or a receive action. This class has been used as a specification language for the generation of cryptographic protocols [4], as well as modellings radio communications where transceivers of several machines use the same frequency [10].

**Definition 4.1 (sequential CFSM).** A CFSM  $M = (Q, C, q_0, \mathbb{A}, \delta)$  is said to be *sequential* if it is basic and all its states in Q are alternating or final. A communicating system of CFSMs is *sequential* if all the machines are sequential and if all but one of the starting states are receiving states.

*Example 4.1 (sequential system).* We give a simple sequential system which is extended from the binary example in § 1.



The corresponding global type is:

$$G_3 = \mu t.A \rightarrow B: \{ \text{ low}.B \rightarrow C: \text{ accept.} C \rightarrow A: \text{ commit.t} \\ \text{high}.B \rightarrow C: \text{quit.} C \rightarrow A: \text{ finish.end} \}$$

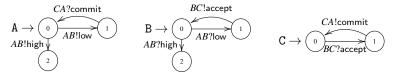
Sequential systems always start with a unique machine able to output. The alternation allows to prove that all accepted traces of sequential systems are 1-bounded.

**Proposition 4.1 (boundedness of sequential systems).** Sequential systems are 1-bounded.

**Proposition 4.2 (safety and liveness in sequential systems).** For sequential systems, the safety and liveness properties of Definition 2.4 are decidable.

*Proof.* By Proposition 4.1, sequential systems are 1-bounded and therefore finite. The reachability problem of 1-bounded system is decidable with a complexity that is polynomial in the number of states and of transitions [10, Theorem 26].  $\Box$ 

*Example 4.2 (properties of sequential systems).* We show an example of a sequential system which does not satisfy the safety properties. We change machines in the previous example:



Then the system is sequential but does not satisfy deadlock-freedom since, if high is selected, then *C* should wait forever. The corresponding global type is:

which does not satisfy the mergeability condition: it is not well-formed. If we replace only A by the above automaton, but keep B and C from Example 4.1, then it can have an orphan message CA!finish in the queue. In Theorem 4.3, we show if the sequential system satisfies deadlock-freedom and orphan-message freedom, then it coincides with the well-formed sequential global type defined below.

We define sequential global (and local) types, and show the trace equivalence with sequential communicating systems. Below the 1-unfolding means unfolding each recursion once [23].

**Definition 4.2** (sequential global type). A well-formed global type G is sequential if:

- 1. there is no parallel composition; and
- 2. for any subterm  $p_1 \rightarrow p_2$ :  $\{a_j \cdot p_{3i} \rightarrow p_{4i} : \{b_i \cdot G_i\}_{i \in I}\}_{j \in J} \in G'$  where G' is the 1-unfolding of G, then  $p_2 = p_{3i}$  for all  $i \in I$ .

In sequential global types, the receiver of a message is always either terminating, or the next sender. The definition relies on 1-unfolding to adapt the definition to recursive types. The following proposition could be an alternative definition to sequential global types based on alternating transitions in reductions.

**Proposition 4.3 (sequential global type).** Suppose G is sequential. Then, for any sequence of transitions  $G^{\frac{\varphi}{2}}G_0 \stackrel{\ell_1}{\longrightarrow} G_1 \stackrel{\ell_2}{\longrightarrow} G_2$ , we have either:

- 
$$\ell_1 = p_1 p'_1 ! a$$
 and  $\ell_2 = p_2 p'_2 ? b$  with  $p_1 = p_2$  and  $p'_1 = p'_2$  and  $a = b$ ; or  
-  $\ell_1 = p_1 p'_1 ? a$  and  $\ell_2 = p_2 p'_2 ! b$  with  $p'_1 = p_2$ .

First we prove the soundness.

**Theorem 4.1 (soundness in sequential systems).** If G is sequential, there exists a sequential system S which satisfies the safety properties (deadlock-freedom, reception error-freedom and orphan message-freedom) in Definition 2.4. and  $S \approx G$ .

*Proof.* We first prove if G is sequential, then S is sequential by induction on G. The case G = end is trivial. Suppose  $p_1 \rightarrow p_2$ :  $\{a_j \cdot p_2 \rightarrow p_{3i} : \{b_i \cdot G_i\}_{i \in I}\}_{j \in J} = G'$  where G' is the 1-unfolding of G. There are two cases.

**Case 1**  $p_1 \neq p_{3i}$ : By the definition of mergeability,  $p_{3i} = p_{3j} = p_3$ . Let  $T_i = (p_2 \rightarrow p_{3i}: \{b_i.G_i\}_{i \in I}) \upharpoonright p_{3i}$ . Then by definition,  $\mathcal{A}(T_i)$  starts from a receiving state with the labels  $\{b_i\}_{i \in I}$ , and by induction,  $\mathcal{A}(T_i)$  is alternating.  $\mathcal{A}(G \upharpoonright p_1)$  is only an initial sending state with labels  $\{a_j\}$  and  $\mathcal{A}(G \upharpoonright p_2)$  has two states whose initial state is receiving from  $p_1$  with labels  $\{a_j\}$  and sending to  $p_3$  with labels  $\{b_i\}$ . Similarly by induction, for all  $p', \{\mathcal{A}(G_i \upharpoonright p')\}_{p'}$  is a set of automata whose initial states are receiving with alternation or final. Hence  $\{\mathcal{A}(G \upharpoonright p')\}_{p'}$  is sequential.

**Case 2**  $p_1 = p_{3i}$  for some *i*: Similar with Case 1 noting  $\mathcal{A}(G \upharpoonright p_1)$  has a sending initial state with labels  $\{a_i\}$  which connects to receiving states from  $p_2$  with labels  $\{b_i\}$ .

The second half (safety) is immediate from Theorem 3.1 and Propositions 3.3 and 4.3.  $\Box$ 

Now that we have identified exactly the sequential systems and sequential global types, we can turn to showing their equivalence. We start by the synthesis algorithm.

**Theorem 4.2** (synthesis of sequential systems [11]). If a system  $S = (M_p)_{p \in \mathcal{P}}$  is sequential, then there is an algorithm which successfully builds G such that  $G \approx S$  if such G exists, and otherwise terminates.

*Proof.* The algorithm starts from the initial states of all machines  $(q^{p_1}_0, ..., q^{p_n}_0)$ : we know that only one of them is a sending state. We apply the algorithm with the invariant that all buffers are empty, all machines are in receiving states except one. We define  $G(q_1, ..., q_n)$ , where we note  $q_k$  to be the sending state (corresponding to machine p), as follows:

- 1. if  $(q_1,...,q_n)$  has been visited before, the global type is  $t_{q_1,...,q_n}$ ;
- 2. if all  $q_i$  in  $(q_1, ..., q_n)$  are final, we set to end.
- 3. otherwise, in  $q_k$ , from machine p, we know that all the transitions are sending actions towards p' (by directedness), i.e. of the form  $(q_k, pp'!a_i, q_i) \in \delta_p$  for  $i \in I$ .
  - (a) we check that machine p' is in a receiving state  $q_m$  such that  $(q_m, pp'?a_j, q'_j) \in \delta_{p'}$  with  $j \in J$  and  $I \subseteq J$ . Otherwise the algorithm returns false.
  - (b) we check that each  $q'_i$  ( $i \in I$ ) in machine p' are sending states or final. Otherwise the algorithm returns false.
  - (c) we set μt<sub>q1,...,qn</sub>.p → p': {a<sub>i</sub>.G(q1,...,q<sub>k</sub> ← q<sub>i</sub>,...,q<sub>m</sub> ← q'<sub>i</sub>,...,q<sub>n</sub>)}<sub>i∈I</sub> (where q<sub>k</sub> ← q<sub>i</sub> means we replace q<sub>k</sub> by q<sub>i</sub>) and continue by recursive calls. If q'<sub>i</sub> is final, we set it to end.
- 4. we erase unnecessary  $\mu$ t if t does not appear in G.

*Example 4.3 (synthesis of sequential systems).* We show how to build a global type from the three sequential automata in Example 4.1. We denote, e.g.  $q_i^{A}$  for A's *i*-state.

- 1. We pick up the initial sending state  $q_0^{\text{A}}$  and its receiving state  $q_0^{\text{B}}$ . We build the type  $\mu t_{q_0^{\text{A}}, q_0^{\text{B}}, q_0^{\text{C}}}$ .  $A \to B$ : {low. $S_1$ , high. $S_2$ } and go to  $S_1$ .
- 2. From  $S_1$ ,  $q_1^{\text{B}}$  is a sending state, and we find  $q_0^{\text{C}}$  as its receiving state. Hence we build  $\mu t_{q_1^{\text{A}}, q_1^{\text{B}}, q_0^{\text{C}}}$ .B  $\rightarrow$  C: accept. $S_3$ .
- From S<sub>3</sub>, q<sup>C</sup><sub>1</sub> is a sending state, and we find q<sup>A</sup><sub>1</sub> as its receiving state. Hence we denote μt<sub>q<sup>A</sup><sub>1</sub>,q<sup>B</sup><sub>0</sub>,q<sup>C</sup><sub>1</sub>. C → A: commit.S<sub>4</sub>.
  </sub>
- We go back S<sub>2</sub>. Then q<sup>B</sup><sub>2</sub> is a sending state, and we find q<sup>C</sup><sub>0</sub> as its receiving state. Hence we denote μt<sub>q<sup>A</sup>,q<sup>B</sup>,q<sup>C</sup><sub>0</sub></sub>.B→C: quit.S<sub>5</sub>.
- 5. From  $S_5$ ,  $q_2^c$  is a sending state, and we find  $q_2^A$  as its receiving state. Hence we denote  $\mu t_{q_2^A, q_3^B, q_2^c}$ .  $C \to A$ : finish.  $S_6$ .
- 6. Since  $S_6$  goes to the final states  $(q_3^A, q_3^B, q_3^C)$ , we set it to end.
- 7. We delete all  $\mu t_{q_i^A, q_i^B, q_i^C}$  except  $\mu t_{q_0^A, q_0^B, q_0^C}$  and finish.

We prove that the synthesis is correct and preserves the traces.

**Theorem 4.3 (completeness in sequential systems).** If  $S = (M_p)_{p \in \mathcal{P}}$  is sequential, orphan message-free and deadlock-free, then there exists sequential G such that  $S \approx G$ .

*Proof.* By Proposition 4.1, the sequential system *S* terminates either with 1 message in one queue or all are empty. Another possibility is deadlock. Theorem 4.2 implies if *S* is deadlock-free and orphan message-free, the algorithm always returns a well-formed sequential *G*; otherwise terminates by Step 2-(a) and (b). Hence by Theorem 3.1 and Proposition 3.3 by setting  $M'_p = \mathcal{A}(G \upharpoonright p)$ , we have  $G \approx \{\mathcal{A}(G \upharpoonright p)\}_{p \in \mathcal{P}} \approx S$ .

#### 5 Multiparty compatibility and soundness

This section defines multiparty compatibility and proves the soundness theorem: the CFSMs which are equivalent to projected local types satisfy the multiparty compatibility. As stated in Theorem 2.1, restricting basic CFSMs to the natural generalisation of half-duplex systems [10, § 4.1.1] is not sufficient to fully characterise multiparty session types. We need a stronger (and decidable) property as the multiparty compatibility to force basic CFSMs to behave as if they were the result of a projection from global types. We then show that multiparty compatible automata satisfy the three safety properties. This result, together with soundness and Theorem 3.1, implies that all well-formed global types satisfy the safety properties, i.e. all traces made by a global type are safe.

**Multiparty compatibility** In the two machines case, there exists a sound and complete condition called *compatible* [20]. The idea of the extension to the multiparty case comes from the observation that from the viewpoint of the participant p, the rest of all the machines  $(M_q)_{q \in \mathcal{P} \setminus p}$  should behave as if they were one CFSM which offers compatible traces, up to internal synchronisations (i.e. 1-bounded executions). Below we define a way to group CFSMs.

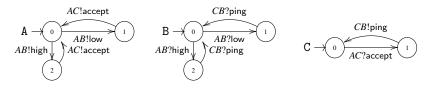
We now define a notion of compatibility extended to more than two CFSMs. We say that  $\varphi$  is an *alternation* if  $\varphi$  is an alternation of sending and corresponding receive actions (i.e. the action pq!a is immediately followed by pq?a) or an empty sequence.

**Definition 5.1 (multiparty compatible system).** A system  $S = (M_p)_{p \in \mathcal{P}}$  is *multiparty compatible* if for any 1-bounded reachable stable state  $s \in RS_1(S)$ ,

- 1. for any output action pq!a from s in  $M_p$ , there exists an alternation  $\varphi \cdot t$  from s in a system where act(t) = pq?a and  $p \notin act(\varphi)$ ; and
- 2. for any input action qp?a from s in  $M_p$ , there exists an input action qp?b and an alternation  $\varphi \cdot t$  from s where act(t) = qp!b and  $p \notin act(\varphi)$ .

In above,  $p \notin act(\phi)$  means  $\phi$  does not contain actions to or from channel p. The definition states that for each  $M_p$ , the rest of machines can produce the compatible (dual) actions by executing alternations. From  $M_p$ , these intermediate alternations can be seen as non-observable internal actions. The asymmetry between output and input actions is due to branching subtyping [13]; the rest of the machines must be able to receive every output from a single machine, while the rest of the machines is only required to be able to output one message that is expected by *one of inputs* of a single machine. The former guarantees orphan message freedom, while the latter ensures deadlock-freedom. See the examples below.

*Example 5.1 (multiparty compatibility).* We first give a simple multiparty compatible system which is a modification of Example 4.1.



The corresponding global type is:

$$\begin{array}{l} G_4 \ = \ \mu t. \mathbb{A} \to \mathbb{B} : \{ \ \mathsf{low}.\mathbb{A} \to \mathbb{C} : \ \mathsf{accept.C} \to \mathbb{B} : \mathsf{ping.t}, \\ \mathsf{high.A} \to \mathbb{C} : \ \mathsf{accept.C} \to \mathbb{B} : \mathsf{ping.t} \ \end{array}$$

Note that the communicating automata and the global type are not sequential but wellformed.

We detail here how to check the compatibility from the point of view of A. To check the compatibility for the actions  $act(t_1 \cdot t_2) = AB!$ high  $\cdot AC!$ accept, the only possible actions are AB?high from B (a dual of  $act(t_1)$ ); and AC?accept from C. Similarly for the actions AB!low  $\cdot AC!$ accept.

We then check the compatibility from the point of view of B. To check the compatibility for the actions  $act(t_3 \cdot t_4) = AB$ ?high  $\cdot CB$ ?ping, the actions are AB!high from A; then AC!accept  $\cdot AC$ ?accept  $\cdot CB$ !ping from A and C where AC!accept  $\cdot AC$ ?accept is a 1-bounded execution.

We can verify similarly for *AB*?low from B, and the multiparty compatibility from the view point of C.

*Remark 5.1 (multiparty compatibility without mergeability).* The multiparty compatibility of Example 5.1 does not require the asymmetry between the output and input actions. The input case is defined as the same as the output case, i.e. Definition 5.1(2) is replaced as follows:

2. for any input action qp?*a* from *s* in  $M_p$ , there exists an alternation  $\varphi \cdot t$  from *s* where act(t) = qp!*a* and  $p \notin act(\varphi)$ .

This is because an input from the state 0 of C has always a dual output from both states 1 and 2 in A. This input-output symmetric version corresponds to the projection rule in [23] which is given by replacing the third case  $(q \neq p, q \neq p')$  of the branching case (meageablity) in Definition 3.1 with:

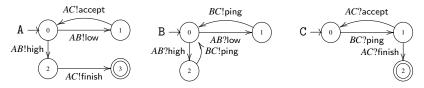
$$\mathbf{p} \to \mathbf{p}' \colon \{a_j.G_j\}_{j \in J} \upharpoonright \mathbf{q} = G_1 \upharpoonright \mathbf{q} \text{ where } G_i \upharpoonright \mathbf{q} = G_j \upharpoonright \mathbf{q}$$

Note that this rule is subsumed by Definition 3.1. See § 7 for more discussions.

*Example 5.2 (multiparty compatibility for Figure 2).* We can formally give the multiparty compatibility property on the commit example of Figure 2. To check the compatibility from the point of view of A, we check the actions  $act(t_1 \cdot t_2) = AB!$ quit  $\cdot AC!$ finish, the only possible action is AB?quit (a dual of  $act(t_1)$ ) from B, then a 1-bounded execution is BC!save  $\cdot BC?$ save, and AC?finish (a dual of  $act(t_2)$ ) from C. To check the compatibility for the actions  $act(t_3 \cdot t_4) = AB!$ act  $\cdot AC!$ commit, AB?act (a dual of  $act(t_4)$ ) from B, the 1-bounded execution is BC!sig  $\cdot BC?$ sig, and AC?commit (a dual of  $act(t_4)$ ) from C.

*Example 5.3 (multiparty compatibility with meargeablity).* We explain the reason of the input multiparty compatibility in Definition 5.1(2). We revise Example 5.1 by replacing

A and C's CFSMs. The corresponding global type is given below:



The corresponding global type is:

$$\begin{array}{rl} G_5 \;=\; \mu t. \mathbb{A} \rightarrow \mathbb{B} : \left\{ \begin{array}{l} \mathsf{low}.\mathbb{B} \rightarrow \mathbb{C} : \mathsf{ping}.\mathbb{A} \rightarrow \mathbb{C} : \mathsf{accept.t} \\ \mathsf{high}.\mathbb{B} \rightarrow \mathbb{C} : \mathsf{ping}.\mathbb{A} \rightarrow \mathbb{C} : \mathsf{finish.end} \end{array} \right\} \end{array}$$

We observe that A performs only "accept" following "low" or only "finish" following "high". This automaton is given by using the mergeability projection rule from  $G_5$ .

We now verify the asymmetric rule for the input multiparty compatibility. For this purpose, we select C. Assume the 1-bounded execution  $AB!low \cdot AB?low \cdot BC!ping \cdot BC?ping$ . Then from the viewpoint of C, state 1 can execute AC?finish. We note that a dual action AC!finish is not enable from state 1 of A (which was possible in Example 5.1). However there exits action AC!accept from state 1 of A which is dual to AC?accept from the same state 1 in C. Similarly for the case  $AB!high \cdot AB?high \cdot BC!ping \cdot BC?ping$ . In this way, one can verify the multiparty compatibility from C. Similarly we can check Example 3.2 is multiparty compatible.

*Remark 5.2.* In Definition 5.1, we check the compatibility from any 1-bounded reachable stable state in the case one branch is selected by different senders. Consider the following machines:

**D** 4 0

$$A \xrightarrow{BA?a} CA?c \otimes B \xrightarrow{BA!a} CA?c \otimes B \xrightarrow{BA!a} CA?c \otimes CA!c \otimes CA!c$$

In A, B and C, each action in each machine has its dual but they do not satisfy multiparty compatibility. For example, if  $BA!a \cdot BA?a$  is executed, CA!d does not have a dual action (hence they do not satisfy the safety properties). On the other hand, the machines A', B and C satisfy the multiparty compatibility.

The next proposition states that after some appropriate executions, *any* reachable state can be translated into a 1-bounded execution. See § 2 for the definition of a stable property.

**Proposition 5.1 (stable property).** Assume  $S = (M_p)_{p \in \mathcal{P}}$  is basic and multiparty compatible. Then S satisfies the stable property, i.e. if, for all  $s \in RS(S)$ , there exists an execution  $\frac{\Phi}{P}$  such that  $s \frac{\Phi}{P}s'$  and s' is stable, and there is a 1-bounded execution  $s_0 \frac{\Phi'}{S}s'$ .

*Proof.* The proof is non-trivial using a detailed analysis of causal relations to translate into a 1-bounded executions. See Appendix B.  $\Box$ 

**Theorem 5.1 (safety and liveness).** Assume  $S = (M_p)_{p \in \mathcal{P}}$  is basic and multiparty compatible. Then S satisfies the three safety properties in Definition 2.4. Further, if there exists at least one  $M_q$  which includes a final state, then S satisfies the liveness property.

*Proof.* The orphan message- and the reception error-freedom are the corollary of Proposition 5.1. The deadlock-freedom is proved by the stable property and multiparty compatibility. Liveness is a consequence of the orphan message- and deadlock-freedom. Thus we only have to prove the deadlock-freedom. Assume *S* is basic and satisfy the multiparty session compatibility. Hence *S* satisfies the stable property. Thus we only have to check for all  $s \in RS_1(S)$ , *s* is not deadlock. Suppose by the contradiction, *s* contains the receiving states *t* from the input state *q* of machine p. Then by the multiparty compatibility, there exists 1-bounded execution  $\varphi$  such that  $s \xrightarrow{\varphi} \xrightarrow{t'} s'$  such that *t'* is dual of one of the inputs from *q*. Write *t''* for such input. Hence  $s \xrightarrow{\varphi} \xrightarrow{t'} s''$  and *s''* is stable and *s''* should satisfy the multiparty compatibility again. We apply for the all input states except p enable from *s''* repeatedly, which eventually leads to *s''* with no input state which is stable. This contradicts the assumption. Thus we conclude the proof of the deadlock-freedom.

Now we prove the soundness of the projection algorithm.

**Theorem 5.2** (soundness). Let G be a global type with participants  $\mathcal{P}$  and  $\vec{T} = \{G \upharpoonright p\}_{p \in \mathcal{P}}$  be the local types projected from G. Then  $(\mathcal{A}(T_p))_{p \in \mathcal{P}}$  is multiparty compatible.

*Proof.* By Theorem 3.1, we only have to check that the transitions generated by the LTS rules of global types satisfy the multiparty compatibility. See Appendix C.

#### 6 Synthesis and completeness

This section studies the synthesis and the complete characterisation of multiparty session types as communicating automata.

We first state that multiparty compatibility is decidable.

**Proposition 6.1.** *If all the CFSMs*  $M_p$  ( $p \in \mathcal{P}$ ) *are basic, there is an algorithm to check whether*  $(M_p)_{p \in \mathcal{P}}$  *is multiparty compatible.* 

*Proof.* The algorithm to check  $M_p$ 's compatibility with  $S^{-p}$  is defined using the set  $RS_1(S)$  of reachable states using 1-bounded executions. Note that the set  $RS_1(S)$  is computable [9, 10]. We start from  $q = q_0$  and the initial configuration  $s = s_0$ . Suppose that, from q, we have the transitions  $t_i = (q, \operatorname{qp}!a_i, q'_i) \in \delta_p$ . We then construct  $RS_1(S)$  (without executing p) until it includes s' such that  $\{s' \stackrel{t_i}{\to} \stackrel{t'_i}{\to} s_j\}_{j \in J}$  where  $act(t'_i) = \operatorname{qp}!a_i$  and  $I \subseteq J$ . If there exists no such s', it returns false and terminates. The case where, from q, we have receiving transitions  $t = (q, \operatorname{qp}!a_i, q'_i)$ , we check whether there exists  $t' = (q, \operatorname{qp}!a_j, q'_j)$  such that, after the 1-bounded execution, there is a dual output. If it does not fail, we continue to check from state  $q'_i$  and configuration  $s_i$  for each  $i \in I$ . We repeat this procedure until we visit all  $q \in Q_p$ . Repeat for the other machines p' such that  $p' \in \mathcal{P} \setminus p$ . Then we repeat this procedure for all stable  $s \in RS_1(S)$ .

**Synthesis** Below we state the lemma which will be crucial for the proof of synthesis and completeness. The lemma comes from the intuition that the transitions of multiparty compatible systems are always permutations of one-bounded executions as it is the case in multiparty session types.

**Lemma 6.1** (1-buffer equivalence). Suppose  $S_1$  and  $S_2$  are two basic and multiparty compatible communicating systems such that  $S_1 \approx_1 S_2$ , then  $S_1 \approx S_2$ .

*Proof.* We prove that  $\forall n, S_1 \approx_n S_2 \implies S_1 \approx_{n+1} S_2$ . Then the lemma follows. We assume  $S_1 \approx_n S_2$  and then prove by induction on the length of an execution  $\varphi$  in  $S_1$ , that it is accepted by S<sub>2</sub>. If  $|\varphi| < n+1$ , then the buffer usage of  $\varphi$  for S<sub>1</sub> cannot exceed *n*, therefore  $S_2$  can realise  $\varphi$  since  $S_1 \approx_n S_2$ . Assume  $|\varphi| = k + 1$  and that the property holds for traces of length k or less. We apply a case analysis on  $\varphi$  and use multiparty compatibility to know the existence of matching receives for unmatched sends. By permutation, we are able to reduce the size of buffer used in  $S_1$  and apply the induction hypothesis. By using the permutation backwards in  $S_2$  we can conclude. See Appendix D. 

**Theorem 6.1** (synthesis). Suppose S is a basic system and multiparty compatible. Then there is an algorithm which successfully builds well-formed G such that  $S \approx_1 G$  and terminates.

*Proof.* We assume  $S = (M_p)_{p \in \mathcal{P}}$ . We first divide S into  $S_1, S_2, ..., S_m$  where a set of participants appear in  $S_i$  are pairwise disjoint. Once we construct  $G_i$  from  $S_i$ , we obtain  $G_1 | G_2 | \dots | G_n.$ 

We show the algorithm which constructs G from  $S_i$ . The algorithm starts from the initial states of the machines  $S_i$  ( $q^{p_1}_0, ..., q^{p_n}_0$ ). We take a pair of the initial states which is a sending state  $q_0^p$  and a receiving state  $q_0^q$  from p to q. We note that by directness, if there are more than two pairs, by [G4] in Definition 3.2, the order does not matter. We apply the algorithm with the invariant that all buffers are empty and that we repeatedly pick up one pair such that  $q_p$  (sending state) and  $q_q$  (receiving state). We define  $G(q_1,...,q_n)$  where  $(q_p,q_q \in \{q_1,...,q_n\})$  as follows:

- 1. if  $(q_1, ..., q_n)$  has already been examined and if all participants have been involved since then (or the ones that have not are in their final state), we set  $G(q_1, ..., q_n)$  to be  $t_{q_1,\ldots,q_n}$ .
- 2. if  $(q_1, ..., q_n)$  are all final states, we set end.
- 3. otherwise, we select a pair sender/receiver from two participants that have not been involved (and are not final) and go to the next step;
- 4. in  $q_{p}$ , from machine p, we know that all the transitions are sending actions towards p' (by directedness), i.e. of the form  $(q_p, pq!a_i, q_i) \in \delta_p$  for  $i \in I$ .
  - (a) we check that machine q is in a receiving state  $q_q$  such that  $(q_q, pq?a_j, q'_i) \in \delta_{p'}$ with  $j \in J$  and  $I \subseteq J$ .
  - (b) we set  $\mu t_{q_1,\dots,q_n} \cdot p \to q$ :  $\{a_i \cdot G(q_1,\dots,q_p \leftarrow q_i,\dots,q_q \leftarrow q'_i,\dots,q_n)\}_{i \in I}$  (we replace  $q_p$  and  $q_q$  by  $q_i$  and  $q'_i$ , respectively) and continue by recursive calls.
- (c) if all sending states in  $q_1, ..., q_n$  become final, then we set  $G(q_1, ..., q_n) = end$ .
- 5. we erase unnecessary  $\mu$ t if t  $\notin G$ .

20

We note that the algorithm for the sequential systems in Theorem 4.2 checks that the deadlock-freedom and orphan message freedom while building a sequential global type. On the other hand, by the assumption of the multiparty compatibility, the algorithm in Theorem 6.1 does not require this check. In the sequential system, we can easily combine the synthesis and safety-checking since only one active sender and receiver appear at the same time. We also note that the sequential system explores only the 1-bounded execution so that building  $G \approx_1 S$  means  $G \approx S$  in the sequential system.

In a general case studied in § 5, since the above algorithm only explores 1-bounded executions, the reconstructed G only satisfies  $G \approx_1 S$ . Thus we need to prove the following property.

**Corollary 6.1** (synthesis for all traces). Suppose *S* is a basic system and multiparty compatible and *G* is built by the algorithm in Theorem 6.1 from *S*. Then  $G \approx S$ .

*Proof.* By the construction of the algorithm, the projection  $\{G \upharpoonright p\}_{p \in \mathcal{P}}$  is defined. By Theorem 3.1, we know that  $G \approx (\{G \upharpoonright p\}_{p \in \mathcal{P}}; \vec{\epsilon})$ . Hence, by Proposition 3.3, we have  $G \approx S'$  where  $S' = \{\mathcal{A}(G \upharpoonright p)\}_{p \in \mathcal{P}}$ , the communicating system translated from the projected local types  $\{G \upharpoonright p\}_{p \in \mathcal{P}}$  of *G*. By  $S \approx_1 G$ , we know  $S \approx_1 S'$ . Hence by Lemma 6.1,  $S \approx S'$  and therefore  $S \approx G$ .

*Example 6.1 (synthesis of basic and multiparty compatible systems).* We show the algorithm can generate the global type in Example 3.1 from CFSMs in Figure 2.The steps are similar with Example 4.3. As in Example 4.3, we denote e.g.  $q_i^A$  for A's *i*-state.

- 1. We pick up the initial sending state  $q_0^{\mathbb{A}}$  and its receiving state  $q_0^{\mathbb{B}}$ . We build the type  $\mu t_{q_0^{\mathbb{A}}, q_0^{\mathbb{B}}, q_0^{\mathbb{C}}} \mathbb{A} \to \mathbb{B}$ : {act. $S_1$ , quit. $S_2$ } and go to  $S_1$ .
- 2. From  $S_1$ ,  $q_1^{\text{B}}$  is a sending state, and we find  $q_0^{\text{C}}$  as its receiving state. Hence we build  $\mu t_{q_1^{\text{A}}, q_2^{\text{B}}, q_0^{\text{C}}}$ .B  $\rightarrow$  C: sig.S<sub>3</sub>.
- 3. From  $S_3$ ,  $q_1^{\text{A}}$  is a sending state, and we find  $q_1^{\text{C}}$  as its receiving state. Hence we build  $\mu t_{q_1^{\text{A}}, q_2^{\text{B}}, q_2^{\text{C}}}$ .  $A \to \text{C}$ : commit.  $S_4$ .
- 4. The next state for  $S_4$  is an initial state  $(q_0^{\text{A}}, q_0^{\text{B}}, q_0^{\text{C}})$  which was visited before. Hence by Step 1, we set  $S_4 = t_{q_0^{\text{A}}, q_0^{\text{B}}, q_0^{\text{C}}}$ .
- From S<sub>2</sub>, q<sup>B</sup><sub>2</sub> is a sending state and q<sup>C</sup><sub>0</sub> is a receiving state. Hence we build the type μt<sub>q<sup>A</sup>,q<sup>B</sup><sub>2</sub>,q<sup>C</sup><sub>0</sub>.B → C: save.S<sub>5</sub>.
  </sub>
- From S<sub>5</sub>, q<sup>A</sup><sub>2</sub> is a sending state and q<sup>C</sup><sub>2</sub> is a receiving state. Hence we build the type μt<sub>q<sup>A</sup>,q<sup>B</sup><sub>2</sub>,q<sup>C</sup><sub>2</sub></sub>. A → C: finish.S<sub>6</sub>.
- 7. Since  $S_6$  goes to the final states  $(q_3^{\text{A}}, q_3^{\text{B}}, q_3^{\text{C}})$ , we set  $S_6$  to end.
- 8. We delete all  $\mu t_{q_i^A, q_i^B, q_i^C}$  except  $\mu t_{q_0^A, q_0^B, q_0^C}$  and finish.

The synthesis algorithm can build the global type

 $B \rightarrow A : \{a : C \rightarrow A : \{c : end, d : end\}, b : C \rightarrow A : \{c : end, d : end\}\}$ 

from A', B and C in Remark 5.2. Note that

$$\mathsf{B} \to \mathsf{A}: \{a: \mathsf{C} \to \mathsf{A}: \{c: \mathsf{end}\}, b: \mathsf{C} \to \mathsf{A}: \{d: \mathsf{end}\}\}$$

generated from A, B and C in Remark 5.2 is neither projectable by Definition 3.1 nor multiparty compatible, hence not well-formed.

With Theorems 5.2, and 5.1, and Corollary 6.1, we can now conclude:

**Theorem 6.2** (soundness and completeness). Suppose *S* is basic and multiparty compatible. Then there exists *G* such that  $S \approx G$ . Conversely, if *G* is well-formed, then there exists a basic and multiparty compatible system *S* which satisfies the three safety properties in Definition 2.4 such that  $S \approx G$ .

# 7 Related work

Our previous extended abstract [16] presented the first translation from global and local types into CFSMs. It only analysed the properties of the automata resulting from such a translation. The complete characterisation of global types independently from the projected local types was left open until our work in the extended abstract of this article [17], as was synthesis. This article presents a new proof of the soundness via the sound and complete LTS correspondence between global and local types, and fully develops the synthesis and completeness results.

There are a large number of articles that can be found in the literature about the synthesis of CFSMs. See [28] for a summary of recent results. The main distinction with CFSM synthesis is, apart from the formal setting (i.e. types), about the kind of the target specifications to be generated (global types in our case). Not only our synthesis is concerned about trace properties (languages) like the standard synthesis of CFSMs (the problem of the closed synthesis of CFSMs is usually defined as the construction from a regular language L of a machine satisfying certain conditions related to buffer boundedness, deadlock-freedom and words swapping), but we also generate concrete syntax or choreography descriptions as *types* of programs or software. Hence they are directly applicable to programming languages and can be straightforwardly integrated into the existing frameworks that are based on session types.

Within the context of multiparty session types, [27] first studied the reconstruction of a global type from its projected local types up to asynchronous subtyping and [24] recently offers a typing system to synthesise global types from local types. Our synthesis based on CFSMs is more general since CFSMs do not depend on the syntax. For example, [27, 24] cannot treat the synthesis for A', B and C in Remark 5.2. These works also do not study the completeness (i.e. they build a global type from a set of projected local types (up to subtyping), and do not investigate necessary and sufficient conditions to build a well-formed global type). A difficulty of the completeness result is that it is generally unknown if the global type constructed by the synthesis can simulate executions with arbitrary buffer bounds since the synthesis only directly looks at 1-bounded executions. In this article, we proved Lemma 6.1 and bridged this gap towards the complete characterisation.

As explained in Introduction, the original *binary* (two party) session types [22] correspond to the set of compatible half-duplex deterministic two-machine systems without mixed states [20, 32] (compatible means that each send is matched by a receive, and vice-versa). As stated in this article, the sequential system [12] satisfies strong sequentiality properties and are multiparty. They are shown to be *restricted half-duplex* in [10, § 4.1.2] (i.e. at most one queue is non-empty). The original multiparty session

[3, 23] types studied in this article are a subset of the *natural multiparty extension of half-duplex system* [10, § 4.1.2] where each pair of machines is linked by two buffered channels, one in each direction, such that at most one is non-empty. Gouda et al.'s pioneering work [20] and a formalisation of Sing# contracts [18] (deterministic and no-mixed CFSMs) in Villard's thesis [32] uses compatible half-duplex systems as specifications (contracts) for a proof system for copyless message passing programs. His following work with Lorenz [26] extends to unreliable systems, and proves that safety properties and boundedness are still decidable. These works [20, 32, 26] only treat the two-machine case.

Recent work by [8, 1, 2] as well as our work in [16, 25] focus on proving the semantic correspondence between more general forms of global and local descriptions. Global types in [8] are described by the fork ( $\land$ ), choice ( $\lor$ ) and repetition (G)<sup>\*</sup> (which represents a finite loop of zero or more interactions of G), and choreographies in [1, 2] form a finite state machine with queues. The former investigates the conditions for well-formed choices and sequencing, and the latter studies the realisability (i.e. projectability) conditions for global descriptions. Their systems do not treat the fine-grained causality between sends and receives modelled by the LTSs in this article (i.e. the OO-causality or II-causality at different channels [23], since they either only observe send or receive actions). It explains why we used different LTSs for types that had not been investigated in [8, 1, 2]. Neither synthesis algorithm, a complete characterisation nor an inference of global descriptions from local specifications studied in [8, 1, 2]. The related work in [16, 25] offer a detailed comparison with these works from the perspective of the general forms of the global descriptions.

#### 8 Conclusion and future work

This article investigated the sound and complete characterisation of two classes of multiparty session types into CFSMs and developed two decidable synthesis algorithms from basic CFSMs. The two synthesis algorithms terminate and can always output a global type which enforces the three safety properties of deadlock-freedom, reception error-freedom and orphan message-freedom (Definition 2.4). We summarise the equivalences in Table 1.

Multiparty Session Type	Communicating automata (CA)
Sequential MPST [4] $\approx$	Sequential (basic, alternating)
	orphan message-free and deadlock-free CA
MPST [23, 3, 34] ≈	Basic, multiparty compatible CA

Table 1. Summary of the equivalence results

The main tool we used is a new extension to multiparty interactions of the duality condition for binary session types, called *multiparty compatibility*. In sequential systems, multiparty compatibility is *omnipresent* as the execution in the sequential systems

is automatically compatible. In the general class, the basic condition (coming from syntactic binary session types) and the multiparty compatibility property are a *necessary and sufficient condition* to obtain safe global types.

As stated in Theorem 2.1 (Corollary 27, Theorem 36 in [10]), in the literature, it had been unknown to identify a decidable class of safe multiparty half-duplex system. Basic multiparty compatible CFSMs define one of the few non-trivial decidable subclass of CFSMs which satisfy safety conditions. Our soundness theorem shows that, starting from a projection of a global type, using the translation from a local type to a basic CFSM, we can automatically generate a subclass of safe CFSMs in the polynomial time complexity.

Our aim is to offer a duality notion which would be applicable to extend other theoretical foundations such as the Curry-Howard correspondence with linear logics [7, 33] to multiparty communications.

The methods proposed here are palatable to a wide range of applications based on choreography protocol models and more widely, finite state machines. For example, the work in [5] extends the framework to timed specification [5]. The basic idea of multiparty compatibility would be applicable for extending the synthesis algorithm to build more expressive graph-based global types (*general global types* [16, 25]) which feature fork and join primitives.

We are currently working on two applications based on the theory developed in this article. The first application is the Testable Architecture [30] in Zero Deviation Life Cycle [36] which enables the communication structure of the implementation to be inferred and to be tested against the choreography. Another application is dynamic monitoring for a large scale cyberinfrastructure in [29]. In the system [29], a central controller checks distributed messages conform monitor specifications which form FSMs projected from a global specification language, Scribble [35, 21]. The implementation framework is explained in [14].

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#### A Appendix for Section 3

#### A.1 Proof of Theorem 3.1

**Subtyping for Local Types** In order to relate global and local types, we use the subtyping relation  $\prec$  on local types [13] as follows:

$$\frac{\forall i \in I, T_i \prec T'_i}{\overline{\mathbf{p}!\{a_i.T_i\}_{i \in I} \prec \mathbf{p}!\{a_i.T'_i\}_{i \in I}}} \quad \frac{J \subseteq I \quad \forall j \in J, T_j \prec T'_j}{\overline{\mathbf{p}?\{a_i.T_i\}_{i \in I} \prec \mathbf{p}?\{a_j.T'_j\}_{j \in J}}}$$

where double lines mean the rules are defined co-inductively. Local type T' is a supertype of local type T, written  $T \prec T'$ , if T' offers less receive transitions. We note that  $\sqcup_{i \in I} T_i \prec T_i$ .

This subtyping relation can be extended to configurations in the following way:  $(\vec{T}; \vec{w}) \prec (\vec{T}'; \vec{w'})$  if  $\vec{w} = \vec{w'}$  and  $\forall p \in \mathcal{P}, T_p \prec T'_p$ . The main properties of this subtyping is (since we do not apply the subtyping for the outputs) that it preserves traces, i.e. if  $s \prec s'$ , then  $s \approx s'$ .

**Extension of projection** In order to prove Theorem 3.1, we extend the definition of projection to global intermediate states.

We represent the projected configuration  $\llbracket G \rrbracket$  of a global type G as a configuration  $\{G \upharpoonright p\}_{p \in \mathcal{P}}, \llbracket G \rrbracket_{\{\varepsilon\}_{qq' \in \mathcal{P}}}$  where the content of the buffers  $\llbracket G \rrbracket_{\{\varepsilon\}_{qq' \in \mathcal{P}}}$  is given by:

$$\begin{split} \llbracket \mathbf{p} & \rightsquigarrow \mathbf{p}' \colon a_j.G_j \rrbracket_{\{w_{\mathbf{q}q'}\}_{\mathbf{q}q' \in \mathcal{P}}} = \llbracket G_j \rrbracket_{\{w_{\mathbf{q}q'}\}_{\mathbf{q}q' \in \mathcal{P}}[w_{\mathbf{p}p'} = w_{\mathbf{p}p'} \cdot a_j]} \\ \llbracket \mathbf{p} & \rightarrow \mathbf{p}' \colon a_j.G_j \rrbracket_{\{w_{\mathbf{q}q'}\}_{\mathbf{q}q' \in \mathcal{P}}} = \llbracket G_j \rrbracket_{\{w_{\mathbf{q}q'}\}_{\mathbf{q}q' \in \mathcal{P}}} \text{ if } \llbracket G_i \rrbracket_{\{w_{\mathbf{q}q'}\}_{\mathbf{q}q' \in \mathcal{P}}} = \llbracket G_j \rrbracket_{\{w_{\mathbf{q}q'}\}_{\mathbf{q}q' \in \mathcal{P}}} \\ \llbracket \mathbf{p} & \rightarrow \mathbf{p}' \colon \{a_j.G_j\}_{j \in J} \rrbracket_{\{w_{\mathbf{q}q'}\}_{\mathbf{q}q' \in \mathcal{P}}} = \llbracket G_1 \rrbracket_{\{w_{\mathbf{q}q'}\}_{\mathbf{q}q' \in \mathcal{P}}} \text{ if } \llbracket G_i \rrbracket_{\{w_{\mathbf{q}q'}\}_{\mathbf{q}q' \in \mathcal{P}}} = \llbracket G_j \rrbracket_{\{w_{\mathbf{q}q'}\}_{\mathbf{q}q' \in \mathcal{P}}} \\ \llbracket \mu t.G \rrbracket_{\{w_{\mathbf{q}q'}\}_{\mathbf{q}q' \in \mathcal{P}}} = \llbracket G[\mu t.G/t] \rrbracket_{\{w_{\mathbf{q}q'}\}_{\mathbf{q}q' \in \mathcal{P}}} \text{ if } \mathbf{q}, \mathbf{q}' \in G_i \\ \llbracket G_1 \mid G_2 \rrbracket_{\{w_{\mathbf{q}q'}\}_{\mathbf{q}q' \in \mathcal{P}}} = \llbracket w_{\mathbf{q}q'}_{\mathbf{q}' \in \mathcal{P}} \\ \llbracket end \rrbracket_{\{w_{\mathbf{q}q'}\}_{\mathbf{q}q' \in \mathcal{P}}} = \{w_{\mathbf{q}q'}\}_{\mathbf{q}q' \in \mathcal{P}} \end{split}$$

The side condition of the branching (the third line) means the contents of the queue are unchanged (since an element is put after  $p \rightarrow p'$  becomes  $p \rightsquigarrow p'$ ). The side condition of the parallel comes from the projection algorithm.

The projection algorithm  $G \upharpoonright q$  is extended by:

$$\mathbf{p} \rightsquigarrow \mathbf{p}' \colon j \{a_i.G_i\}_{i \in I} \upharpoonright \mathbf{q} = \begin{cases} \mathbf{p}?\{a_i.G_i \upharpoonright \mathbf{q}\}_{i \in I} & \mathbf{q} = \mathbf{p}' \\ G_j \upharpoonright \mathbf{q} & \text{otherwise} \end{cases}$$

This extended projection allows us to match a global type and its projected local types step by step.

**Proof for Theorem 3.1** We prove Theorem 3.1 by combining the local type subtyping and extended projection into a step equivalence lemma. Theorem 3.1 is a simple consequence of Lemma A.1.

Lemma A.1 (Step equivalence). For all global type G and local configuration s, if  $s \prec \llbracket G \rrbracket$ , then we have  $G \xrightarrow{\ell} G' \Leftrightarrow s \xrightarrow{\ell} s'$  and  $s' \prec \llbracket G' \rrbracket$ .

*Proof.* The proof is by induction on the possible global and local transitions.

**Soundness** By induction on the LTS rules of  $G \xrightarrow{\ell} G'$ . We prove that if  $G \xrightarrow{\ell} G'$ , then  $\llbracket G \rrbracket \xrightarrow{\ell} s$  with  $s \prec \llbracket G' \rrbracket$ . We use the fact that if  $s \prec s'$ , then  $s \approx s'$ , to consider only matching transition for [G].

[GR1] where  $G = \mathbf{p} \to \mathbf{p}' : \{a_i.G_i\}_{i \in I} \xrightarrow{\mathbf{pp}'!a_i} G' = \mathbf{p} \rightsquigarrow \mathbf{p}' : j \{a_i.G_i\}_{i \in I}$ . The projection of Gis  $\llbracket G \rrbracket = s_T = \{T_q\}_{q \in \mathcal{P}}, \{w_{qq'}\}_{qq' \in \mathcal{P}}$  with local types:  $T_\mathbf{p} = G \upharpoonright \mathbf{p} = \mathbf{p}'! \{a_i.G_i \upharpoonright \mathbf{p}\}_{i \in I}$ and  $T_{\mathbf{p}'} = G \upharpoonright \mathbf{p}' = \mathbf{p}? \{a_i.G_i \upharpoonright \mathbf{p}'\}_{i \in I}$  and (for  $q \notin \{\mathbf{p}, \mathbf{p}'\}$ )  $T_q = \bigsqcup_{i \in I} G_i \upharpoonright \mathbf{q}$ . By Rule [LR1], we have  $p'! \{a_i.G_i \upharpoonright p\}_{i \in I} \xrightarrow{pp'!a_j} G_j \upharpoonright p$ . We therefore have  $s_T \xrightarrow{pp'!a_j} \{T'_q\}_{q \in \mathcal{P}}, \{w'_{qq'}\}_{qq' \in \mathcal{P}}, \text{ with } T'_q = T_q \text{ if } q \neq p, \text{ and } T'_p = G_j \upharpoonright p, \text{ and with } w'_{qq'} = w_{qq'} \text{ if } qq' \neq pp', \text{ and } w'_{pp'} = w_{pp'} \cdot a_j.$ 

Since  $\bigsqcup_{i \in I} G_i \upharpoonright q \prec G_j \upharpoonright q$ , we have  $\{T'_q\}_{q \in \mathcal{P}}, \{w'_{qq'}\}_{qq' \in \mathcal{P}} \prec \llbracket G' \rrbracket$ . [GR2] where  $G = p \rightsquigarrow p' \colon j \{a_i.G_i\}_{i \in I} \xrightarrow{pp':a_i} G' = G_j$ . The projection of G is  $\llbracket G \rrbracket = s_T =$  ${T_q}_{q\in\mathcal{P}}, {w_{qq'}}_{qq'\in\mathcal{P}}$ . The local types are:  $T_p = G \upharpoonright p = G_j \upharpoonright p$  and  $T_{p'} = G \upharpoonright p' = p?{a_j.G_j \upharpoonright p'}$  and (for  $q \notin \{p, p'\}$ )  $T_q = G_j \upharpoonright q$ . We also know that  $w_{pp'}$  is of the form  $w'_{pp'} \cdot a_j$ .

Using [LR2],  $\{T_q\}_{q \in \mathcal{P}}, \{w_{qq'}\}_{qq' \in \mathcal{P}} \xrightarrow{pp'?a_i} \{G_j \upharpoonright q\}_{q \in \mathcal{P}}, \{w'_{qq'}\}_{qq' \in \mathcal{P}} \text{ with } w'_{qq'} = w_{qq'}$ if  $qq' \neq pp'$ . The result of the transition is the same as the projection  $\llbracket G' \rrbracket$  of G'. [GR3] where  $G = \mu t. G' \xrightarrow{\ell} G''$ .

- By hypothesis, we know that  $G'[\mu t. G'/t] \xrightarrow{\ell} G''$ . By induction, we also know that  $\llbracket G'[\mu t.G'/t] \rrbracket = s_T = \{T_q\}_{q \in \mathcal{P}}, \{w_{qq'}\}_{qq' \in \mathcal{P}} \text{ can do a reduction } \xrightarrow{\ell} \text{ to } \llbracket G'' \rrbracket = s'_T = \{T'_q\}_{q \in \mathcal{P}}, \{w'_{qq'}\}_{qq' \in \mathcal{P}}. \text{ By definition, } G'[\mu t.G'/t] \upharpoonright q = G' \upharpoonright q[\mu t.G' \upharpoonright q/t]. \text{ We use } [LR4] \text{ to conclude.}$
- [GR4] where  $\mathbf{p} \to \mathbf{q}$ :  $\{a_i.G_i\}_{i \in I} \xrightarrow{\ell} \mathbf{p} \to \mathbf{q}$ :  $\{a_i.G'_i\}_{i \in I}$  and  $\mathbf{p}, \mathbf{q} \notin subj(\ell)$ . By induction, we know that,  $\forall i \in I, [G_i] \xrightarrow{\ell} \prec [G'_i]$ . We need to prove that  $[p \to q: \{a_i, G_i\}_{i \in I}] \xrightarrow{\ell}$  $[p \rightarrow q: \{a_i.G'_i\}_{i \in I}]$ . The projections for all participants are identical, except for  $q' = subj(\ell)$ , whose projection is (computed by merging)  $\sqcup_{i \in I} G_i \upharpoonright q'$ . Since  $\forall i \in I$  $I, \llbracket G_i \rrbracket^{\ell} \to \llbracket G'_i \rrbracket$ , we know that all the  $G_i \upharpoonright q'$  have at least the prefix corresponding to  $\ell$ , and that, using either [LR1] or [LR2], the continuations are the  $G'_i \mid q'$ . We can then conclude that the  $\sqcup_{i \in I} G_i \upharpoonright q' \xrightarrow{\ell} \prec \sqcup_{i \in I} G'_i \upharpoonright q'$ .
- [GR5] where  $p \rightsquigarrow q$ :  $j \{a_i.G_i\}_{i \in I} \xrightarrow{\ell} p \rightsquigarrow q$ :  $j \{a_i.G'_i\}_{i \in I}$  and  $q \notin subj(\ell)$  with  $G'_i = G_i$  for  $i \neq j$ . By induction, we know that,  $\llbracket G_j \rrbracket \xrightarrow{\ell} \prec \llbracket G'_j \rrbracket$ . We need to prove that  $\llbracket p \rightsquigarrow$

q:  $j \{a_i.G_i\}_{i \in I}$  ]  $\xrightarrow{\ell} \prec [\![p \rightsquigarrow q: j \{a_i.G'_i\}_{i \in I}]\!]$ . The projections for all participants are identical, except for  $q' = subj(\ell)$ , whose projection is  $G_j \upharpoonright q'$ . By induction,

- $G_i \upharpoonright q' \xrightarrow{\ell} \prec G'_i \upharpoonright q'$ , which allows us to conclude.
- [GR6,7] are straightforward by the induction of  $G_1$  or  $G_2$ .

**Completeness** We prove by the rule induction on  $\llbracket G \rrbracket = \{T_p\}_{p \in \mathcal{P}}, \{w_{qq'}\}_{qq' \in \mathcal{P}} \xrightarrow{\ell} \{T'_p\}_{p \in \mathcal{P}}, \{w'_{qq'}\}_{qq' \in \mathcal{P}} \text{ that } G \xrightarrow{\ell} G' \text{ with } \{T'_p\}_{p \in \mathcal{P}}, \{w'_{qq'}\}_{qq' \in \mathcal{P}} \prec \llbracket G' \rrbracket.$ 

- [LR1] There is  $T_p = G \upharpoonright p = p'! \{a_i.G_i \upharpoonright p\}_{i \in I}$ . By definition of projection, *G* has  $p \rightarrow q$ :  $\{a_i.G_i\}_{i \in I}$  as subterm, possibly several times (by mergeability). By definition of projection, we note that no action in *G* can involve p before any of the occurrences of  $p \rightarrow q$ :  $\{a_i.G_i\}_{i \in I}$ . Therefore we can apply as many times as needed [GR4] and [GR5], and use [GR1] to reduce to  $p \rightsquigarrow q$ :  $a_j.G_j$ . The projection of the resulting global type corresponds to a subtype to the result of [LR1].
- [LR2] There is  $T_p = G \upharpoonright p = q$ ? $\{a_j.G_j \upharpoonright p\}_{j \in J}$ . To activate [LR2], there should be a value  $a_j$  in the buffer  $w_{pq}$ . By definition of projection, *G* has therefore  $p \rightsquigarrow q$ :  $j \{a_i.G_i\}_{i \in I}$  as subterm, possibly several times (by mergeability). By definition of projection, no action in *G* can involve p before any of the occurrences of  $p \rightsquigarrow q$ :  $j \{a_i.G_i\}_{i \in I}$ . We can apply as many times as needed [GR4] and [GR5] and use [GR2] to reduce to  $G_j$ . The projection of the resulting global type corresponds to the result of [LR2].
- [LR3] where  $T = \mu t.T'$ . Projection is homomorphic with respect to recursion. Therefore *G* is of the same form. We can use [GR3] and induction to conclude.

## **B Proof of Proposition 5.1**

We first prove lemmas which are useful for the proof.

#### **B.1** Additional lemmas and definitions

We say that a configuration *s* with  $t_1$  and  $t_2$  satisfies the *one-step diamond property* if, assuming  $s \xrightarrow{t_1} s_1$  and  $s \xrightarrow{t_2} s_2$  with  $t_1 \neq t_2$ , there exists *s'* such that  $s_1 \xrightarrow{t'_1} s'$  and  $s_2 \xrightarrow{t'_2} s'$  where  $act(t_1) = act(t'_2)$  and  $act(t_2) = act(t'_1)$ . We use the following lemma to permute the two actions.

**Lemma B.1** (diamond property in basic machines). Suppose  $S = (M_p)_{p \in \mathcal{P}}$  and S is basic. Assume  $s \in RS(S)$  and  $s \xrightarrow{t_1} s_1$  and  $s \xrightarrow{t_2} s_2$ .

- 1. If  $t_1$  and  $t_2$  are both sending actions such that  $act(t_1) = p_1q_1!a_1$  and  $act(t_2) = p_2q_2!a_2$ , we have either:
  - (a)  $p_1 = p_2$  and  $q_1 = q_2$  and  $a_1 = a_2$  with  $s_1 = s_2$ ;
  - (*b*)  $p_1 = p_2$  and  $q_1 = q_2$  and  $a_1 \neq a_2$ ;
  - (c)  $(p_1 \neq p_2 \text{ and } q_1 = q_2)$  or  $(p_1 \neq p_2 \text{ and } q_1 \neq q_2)$ , and s with  $t_1$  and  $t_2$  satisfies the diamond property.
- 2. If  $t_1$  and  $t_2$  are both receiving actions such that  $act(t_1) = p_1q_1?a_1$  and  $act(t_2) = p_2q_2?a_2$ , we have either:
  - (a)  $p_1 = p_2$  and  $q_1 = q_2$  and  $a_1 = a_2$  with  $s_1 = s_2$ ;
  - (b)  $(p_1 = p_2 \text{ and } q_1 \neq q_2)$  or  $(p_1 \neq p_2 \text{ and } q_1 \neq q_2)$ , and s with  $t_1$  and  $t_2$  satisfies the diamond property.
- 3. If  $t_1$  is a receiving action and  $t_2$  is a sending action such that  $act(t_1) = p_1q_1?a_1$  and  $act(t_2) = p_2q_2!a_2$ , we have either:

(a)  $q_1 = q_2$  and  $p_1 \neq p_2$ ; or (b)  $p_1 = p_2$  and  $q_1 \neq q_2$ ; or (c)  $p_1 \neq p_2$  and  $q_1 \neq q_2$ with  $s_1 \neq s_2$ , and s with  $t_1$  and  $t_2$  satisfies the diamond property.

*Proof.* For (1), there is no case such that  $p_1 = p_2$  and  $q_1 \neq q_2$  since *S* is directed. Then if  $p_1 = p_2$  and  $q_1 = q_2$  and  $a_1 = a_2$ , then  $s_1 = s_2$  by the determinism. The diamond property in (c) is obvious since the sender is distinct. For (2), there is no case such that  $p_1 \neq p_2$  and  $q_1 = q_2$  since *S* is directed. Also there is no case such that  $p_1 = p_2$ and  $q_1 = q_2$  and  $a_1 \neq a_2$  since the communication between the same peer is done via an FIFO queue. The diamond property in (c) is obvious since the receiver is distinct. For (3), there is no case such that  $q_1 = q_2$  and  $p_1 = p_2$  because of no-mixed state. The diamond property is obvious since because of no-mixed state.

The following definition aims to explicitly describe the causality relation between the actions. These are useful to identify the permutable actions.

- **Definition B.1 (causality)** 1. Suppose  $s_0 \xrightarrow{\varphi} s$  and  $\varphi = \varphi_0 \cdot t_1 \cdot \varphi_1 \cdot t_2 \cdot \varphi_2$ . We write  $t_1 \triangleleft t_2$ ( $t_2$  depends on  $t_1$ ) if either (1)  $t_1 = pq!a$  and  $t_1 = pq?a$  for some p and q or (2)  $subj(t_1) = subj(t_2)$ .
- 2. We say  $\varphi = t_0 \cdot t_1 \cdot t_2 \cdots t_n$  is *the causal chain* if  $s_0 \xrightarrow{\varphi'} s'$  and  $\varphi \subseteq \varphi'$  with, for all  $0 \le k \le n-1$ , there exists *i* such that i > k and  $t_k \triangleleft t_i$ . We call  $\varphi$  the maximum causal chain if there is no causal chain  $\varphi''$  such that  $\varphi \subsetneq \varphi'' \subseteq \varphi'$ .
- 3. Suppose  $s_0 \xrightarrow{\varphi} s$  and  $\varphi = \varphi_0 \cdot t_1 \cdot \varphi_1 \cdot t_2 \cdot \varphi_2$ . We write  $t_i \sharp t_j$  if there is no causal chain from  $t_i$  to  $t_j$  with i < j.

By Lemma B.1, we have:

**Lemma B.2 (maximum causality).** Suppose *S* is basic and  $s \in RS(S)$ . Then for all  $s \xrightarrow{\varphi} s'$ , we have  $s \xrightarrow{\varphi_m \cdot \varphi''} s'$  and  $s \xrightarrow{\varphi'' \cdot \varphi'_m} s'$  where  $\varphi_m, \varphi'_m$  are the maximum causal chain.

**Lemma B.3 (input availablity).** Assume  $S = (M_p)_{p \in \mathcal{P}}$  is basic and multiparty compatible. Then for all  $s \in RS(S)$ , if  $s^{\frac{pp'!a}{2}}s'$ , then  $s' \xrightarrow{\varphi} s_2 \xrightarrow{pp'?a} s_3$ .

*Proof.* We use Lemma B.1 and Lemma B.2. Suppose  $s \in RS(S)$  and  $s \xrightarrow{t} s'$  such that act(t) = pp'!a. By contradiction, we assume that there is no  $\varphi'$  such that  $s' \xrightarrow{\varphi'} \xrightarrow{t'} s''$  with act(t') = pp'?a. Then by the directedness of *S*, there should be some input state  $(q, qp'?b, q') \in \delta_{p'}$  where  $q \xrightarrow{qp'?b'} q'' \xrightarrow{pp'?a} q'''$  where  $b \neq b'$  (hence  $q' \neq q''$  by determinism), i.e. the action qp'?b leads to an incompatible path with one which leads to the action qp'?a.

Suppose  $s' \frac{\varphi_0}{t_{bi}} s''$  with  $t_{bi} = (q, qp'?b, q')$ . Then  $\varphi_0$  should include the corresponding output action  $act(t_{bo}) = qp'!b$ . By Lemma B.2, without loss of generality, we assume  $\varphi_0 \cdot t_{bi}$  is the maximum causal chain to  $t_{bi}$ . Let us write  $\varphi_0 = t_0 \triangleleft t_1 \triangleleft \cdots \triangleleft t_n$ . By Lemma B.2, we can set  $t_{bo} = t_n$ . Note that for all  $i, act(t_i) \neq pp'?a'$  by the assumption: since if  $act(t_i) = pp'?a$ , then it contradicts the assumption such that t does not have a corresponding input. Then there are three cases.

1. there is a chain from *t* to  $t_n = t_{bo}$ , i.e. there exists  $0 \le i \le n$  such that  $t \triangleleft t_i \triangleleft \cdots \triangleleft t_n$ .

- 2. there is no direct chain from *t* to  $t_n$  but there is a chain to  $t_{bi}$ , i.e. there exists  $0 \le i \le n$  such that  $t \triangleleft t_i \triangleleft \cdots \triangleleft t_{bi}$ .
- 3. there is no chain from t to either  $t_n$  or  $t_{bi}$ .

**Case 1:** By the assumption, there is no  $t_j$  such that  $act(t_j) = pp'?a'$ . Hence  $t_i = pp''!a'$  for some a' and p''.

**Case 1-1:** there is no input in  $t_j$  in  $t \triangleleft t_i \triangleleft \cdots \triangleleft t_{n-1}$ . Then p = q, i.e. qp'!b = pp'!b. Then by the definition of  $s \stackrel{t}{\rightarrow} s'$  (i.e. by FIFO semantics at each channel), pp'?b cannot perform before pp'?a. This case contradicts to the assumption pp'?a is not available.

**Case 1-2:** there is an input  $t_j$  in  $t \triangleleft t_i \triangleleft \cdots \triangleleft t_{n-1}$ . By  $t \triangleleft t_i$ ,  $subj(act(t_i)) = p$ . Hence we have either  $act(t_i) = pq_i!a_i$  with  $q \neq q_i$  or  $act(t_i) = q_ip?a_i$ .

**Case 1-2-1:**  $act(t_i) = pq_i!a_i$ . Then there is a path  $q \xrightarrow{pp'!a} \xrightarrow{pq_i!a_i} q'$  in  $M_p$ . Hence by the multiparty compatibility, there should be the traces  $pp'?a \cdot \varphi \cdot pq_i?a_i$  with  $\varphi$  alternation from the machine with respect to  $\{M_r\}_{r \in \mathcal{P} \setminus p}$ . This contradicts to the assumption that pp'?a is not available.

**Case 1-2-2:**  $act(t_i) = q_i p ?a_i$ . Similarly with the case **Case 1-2-1**, by the multiparty compatibility and since we have a causal chain from *t* to  $t_i$ , there should be the traces  $pp'?a \cdot \varphi \cdot pq_i?a_i$  with  $\varphi$  alternation from the machine with respect to  $\{M_r\}_{r \in \mathcal{P} \setminus p}$ . Hence it contradicts to the assumption.

**Case 2:** Assume the chain such that  $t \triangleleft t_i \triangleleft \cdots \triangleleft t_{bi}$  and  $t \nexists t_n$ . As the same reasoning as **Case 1**,  $p \neq q$  and  $t_i$  is either  $pq_i ! a_i$  or  $q_i p ? a_i$ . Then we use the multiparty compatibility. **Case 3:** Suppose there exists  $s_{04} \in RS(S)$  such that  $s_{04} \xrightarrow{t_4} \varphi_4 \xrightarrow{\phi_0} \frac{t_{bi}}{b_{bi}}$  and  $s_{04} \xrightarrow{t'_4} \varphi'_4 \xrightarrow{\phi'_4} t$  where  $t_4$  leads to  $t_{bi}$  and  $t'_4$  leads to t.

**Case 3-1:** Suppose  $t_4$  and  $t'_4$  are both sending actions. By Lemma B.1, there are three cases.

(a) This case which corresponds to Lemma B.1(a) does not satisfy the assumption since  $s_1 = s_2$ .

(b) We set  $act(t_4) = p_4q_4!d$  and  $act(t'_4) = p_4q_4!d'$  with  $d \neq d'$ . In this case, we cannot execute both *t* and  $t_{bi}$ . Hence there is no possible way to execute  $t_{bi}$ . This contradicts to the assumption.

(c) Since this case satisfy the diamond property, we apply the same routine from s' such that  $s_{04} \xrightarrow{t_{41}} s'$  and  $s_{04} \xrightarrow{t'_{42}} s'$  and  $act(t_4) = act(t_{42})$  and  $act(t'_4) = act(t_{41})$  where the length of the sequences to t and  $t_{bi}$  is reduced (hence this case is eventually matched with other cases).

**Case 3-2:** Suppose  $t_4$  and  $t'_4$  are both receiving actions. By Lemma B.1, there are two cases. The case (a) is as the same as the case **3-1-(b)** and the case (b) is as the same as the case **3-1-(c)**.

**Case 3-3:** Suppose  $t_4$  is a sending action and  $t'_4$  is receiving action. This case is as the same as the case **3-1-(c)**. This concludes the proof.

We can extend the above lemma.

**Lemma B.4 (general input availablity).** Assume  $S = (M_p)_{p \in \mathcal{P}}$  is basic and multiparty compatible. Then for all  $s \in RS(S)$ , if  $s^{\frac{pp'!a}{2}}s_1 \xrightarrow{\varphi}s'$  with  $pp'?a \notin \varphi$ , then  $s' \xrightarrow{\varphi'}s_2 \xrightarrow{pp'?a}s_3$ .

*Proof.* We use Lemma B.3. The proof proceeds by the induction of the length of  $\varphi$ .

**Case**  $|\varphi| = 0$ . By Lemma B.3. **Case**  $|\varphi| = n + 1$ . Let  $\varphi = \varphi_0 \cdot t$  and  $s \xrightarrow{pp'!a} s_1 \xrightarrow{\varphi_0} s'_0 \xrightarrow{t} s'$ . By the inductive hypothesis, there exists  $\varphi'_0$  such that  $s'_0 \xrightarrow{\varphi'_0} s_{20} \xrightarrow{pp'?a} s_{30}$ . By the same reasoning as Lemma B.3, act(t) = qp'?b' which leads to the incompatible path with one which leads to pp'?a. Then the rest is the same as the proof in Lemma B.3.  $\Box$ 

#### **B.2** Proof of Proposition 5.1

Now we prove the stable property.

**Proposition 5.1** Assume  $S = (M_p)_{p \in \mathcal{P}}$  is basic and multiparty compatible. Then S satisfies the stable property, i.e. if, for all  $s \in RS(S)$ , there exists an execution  $\xrightarrow{\phi'}$  such that  $s \xrightarrow{\phi'} s'$  and s' is stable, and there is a 1-bounded execution  $s_0 \xrightarrow{\phi''} s'$ .

*Proof.* We proceed by the induction of the total number of messages (sending actions) which should be closed by the corresponding received actions. Once all messages are closed, we can obtain 1-bounded execution.

Suppose  $s_1, s_2$  are the states such that  $s_0 \xrightarrow{\varphi_1} s_1 \xrightarrow{t_1} s_2 \xrightarrow{\varphi'_1} s'$  where  $\varphi_1$  is a 1-bounded execution and  $s_1 \xrightarrow{t_1} s_2$  is the first transition which is not followed by the corresponding received action. Since  $\varphi_1$  is a 1-bounded execution, there is  $s_3$  such that  $s_2 \xrightarrow{t_2} s_3$  where  $t_1$  and  $t_2$  are both sending actions. Then by the definition of the compatibility and Lemma B.3, we have

$$s_1 \xrightarrow{t_1} s_2 \xrightarrow{\varphi_2} \overline{t_1} s'_3$$
 (B.1)

where  $\varphi_2$  is an alternation execution and  $\overline{t_1} = pq?a$ . Assume  $\varphi_2$  is a minimum execution which leads to  $\overline{t_1}$ . We need to show

$$s_1 \xrightarrow{\phi_2} \xrightarrow{t_1} \xrightarrow{\overline{t_1}} s'_3 \xrightarrow{t_2} s_4$$

Then we can apply the same routine for  $t_2$  to close it by the corresponding receiving action  $\overline{t_2}$ . Applying this to the next sending state one by one, we can reach an 1-bounded execution. Let  $\varphi_2 = t_4 \cdot \varphi'_2$ . Then by the definition of multiparty compatibility,  $act(t_4) = p'q'!c$  and  $p' \neq p$  and  $q' \neq q$ . Hence by Lemma B.1(1), there exists the execution such that

$$s_1 \xrightarrow{t_4} \xrightarrow{t_1} \xrightarrow{\phi_2} \xrightarrow{t_1} s'_3 \xrightarrow{t_2} s'_3$$

Let  $\varphi'_2 = \overline{t_4} \cdot \varphi''_2$  where  $\overline{t_1} = p'q'?c$ . Then this time, by Lemma B.1(2), we have:

$$s_1 \xrightarrow{t_4} \xrightarrow{\overline{t_4}} \xrightarrow{t_1} \xrightarrow{\phi_2''} \xrightarrow{\overline{t_1}} s_3' \xrightarrow{t_2} s_4$$

where  $\varphi_1 \cdot t_4 \cdot \overline{t_4}$  is a 1-bounded execution. Applying this permutation repeatedly, we have

$$s_1 \xrightarrow{\varphi_3} \xrightarrow{t_1} \xrightarrow{t_1} s'_3 \xrightarrow{t_2} s_4$$

where  $\varphi_3$  is an 1-bounded execution. We apply the same routine for  $t_2$  and conclude  $s_1 \xrightarrow{\varphi'} s'$  for some stable s'.

#### C Proof of Theorem 5.2.

We first note that the multiparty compatibility explores stable states which are only reachable by 1-boundned executions, i.e.  $RS_1(S)$ . By Theorem 3.1, we only have to check that the transitions generated by the LTS rules of global types satisfy the multiparty compatibility. Let us call  $p \rightsquigarrow p' : j \{a_i.G_i\}_{i \in I}$  runtime global type. A stable state corresponds to the global type which does not contain any runtime global types. We also note that G = end immediately satisfies the multiparty compatibility. Hence we only have to consider

- 1. the output action from G which does not contain runtime global types; or
- the input action qp?a from G which is reduced from some stable G<sub>0</sub> (which does not contain runtime global types) and G cannot input or output at p before qp?a. This means qp?a is the first input which enables in G from participant p when G is projected into local types;
- and prove (1) and (2) satisfy the conditions in (1) and (2) in Definition 5.1, respectively. We use the following lemma whose proof is straightforward.

**Lemma C.1.** Suppose  $G_0 \xrightarrow{\mathbf{rr}' ! b_k} G = C[\mathbf{r} \rightsquigarrow \mathbf{r}' : k \{b_k.G''_k\}_{k \in K}]$  by [GR1] or [GR4] where  $G_0$  does not contain any runtime global types and  $\mathbf{r}' \notin C$ . Then there is an alternation  $\mathbf{r}' \notin \vec{\ell}$  such that  $G \xrightarrow{\vec{\ell}} \mathbf{r} \rightsquigarrow \mathbf{r}' : k \{b_k.G''_k\}_{k \in K}$ .

We prove the main theorem by the rule induction of the LTS of the global types.

**Case [GR1]**  $p \rightarrow p': \{a_i.G_i\}_{i \in I} \xrightarrow{pp':a_j} p \rightsquigarrow p': j \{a_i.G_i\}_{i \in I} = G'.$ By [GR2],  $G' \xrightarrow{pp':a_j} G''$ . Hence it satisfies Definition 5.1(1).

**Case [GR2]**  $G = p \rightsquigarrow p' : j \{a_i.G_i\}_{i \in I} \xrightarrow{pp'?a_j} G_j = G'.$ 

This case corresponds to a state of a machine p' which has a set of input actions  $\{pp'?a_j\}$ , and there was the output  $pp'!a_j$  from a machine p. Hence this case immediately satisfies Definition 5.1(2) by Lemma C.1.

Case [GR3] By the inductive hypothesis.

Case [GR4]

$$\frac{\forall j \in I \quad G_j \xrightarrow{\ell} G'_j \quad \mathsf{p}, \mathsf{q} \notin subj(\ell)}{\mathsf{p} \to \mathsf{q} \colon \{a_i.G_i\}_{i \in I} \xrightarrow{\ell} \mathsf{p} \to \mathsf{q} \colon \{a_i.G'_i\}_{i \in I}} = G$$

**Sub-case: output** Suppose  $\ell = \mathbf{rr'}!b_k$  and  $\mathbf{r} \neq \mathbf{p}$  and  $\mathbf{q} \neq \mathbf{r}$ . Then  $G'_i$  contains one occurrence of  $\mathbf{r} \rightsquigarrow \mathbf{r'}: k \{b_k.G''_k\}_{k \in I}$ . Write  $G' = C[\mathbf{r} \rightsquigarrow \mathbf{r'}: k \{b_k.G''_k\}_{k \in K}]$ . We need to prove  $G' \xrightarrow{\ell' \mathbf{rr'}: b_k} C'[G''_k]$  for some alternation  $\vec{\ell}$ . Since *C* does not contain any runtime global type, there is an alternation such that  $C[\mathbf{r} \rightsquigarrow \mathbf{r'}: k \{b_k.G''_k\}_{k \in K}] \xrightarrow{\ell} \mathbf{r} \rightsquigarrow \mathbf{r'}: k \{b_k.G''_k\}_{k \in K}$  by Lemma C.1. Hence we can apply [GR2] to obtain  $G' \xrightarrow{\ell' \mathbf{rr'}: b_k} G''_k$ . **Sub-case: input** Similar with the case [GR2] by Lemma C.1. Case [GR5]

$$\frac{G_j \stackrel{\ell}{\to} G'_j \quad \mathsf{q} \notin subj(\ell) \quad \forall i \in I \setminus j, G'_i = G_i}{\mathsf{p} \rightsquigarrow \mathsf{q} \colon j \{a_i.G_i\}_{i \in I} \stackrel{\ell}{\to} \mathsf{p} \rightsquigarrow \mathsf{q} \colon j \{a_i.G'_i\}_{i \in I}}$$

We first note that  $\ell$  cannot be the output due to the form of  $G = p \rightsquigarrow q$ :  $j \{a_i.G_i\}_{i \in I}$ . Hence we suppose  $\ell = \mathbf{rr}' ?a$  such that  $p \neq \mathbf{r}'$  and  $q \neq \mathbf{r}'$  (since  $\ell$  is an immediate input from the machine  $\mathbf{r}'$ ). Then by the same reasoning as [GR2], there exists  $G \xrightarrow{\varphi} G'$  where  $\varphi = pq?a_j \cdot \vec{\ell} \cdot \mathbf{rr}' !a$  and  $\vec{\ell}$  is an alternation with  $\mathbf{r}' \notin \vec{\ell}$ .

**Case [GR6,GR7]** By the inductive hypothesis, noting the sets of participants in  $G_1$  and  $G_2$  are disjoint.

#### **D Proof for Lemma 6.1**

*Proof.* We prove by induction that  $\forall n, S_1 \approx_n S_2 \implies S_1 \approx_{n+1} S_2$ . Then the lemma follows.

We assume  $S_1 \approx_n S_2$  and then prove, by induction on the length of any execution  $\varphi$  that uses less than *n* buffer space in  $S_1$ , that  $\varphi$  is accepted by  $S_2$ . If the length  $|\varphi| < n+1$ , then the buffer usage of  $\varphi$  for  $S_1$  cannot exceed *n*, therefore  $S_2$  can realise  $\varphi$  since  $S_1 \approx_n S_2$ .

Assume that a trace  $\varphi$  in  $S_1$  has length  $|\varphi| = k + 1$ , that  $\varphi$  is (n+1)-bound, and that any trace strictly shorter than  $\varphi$  or using less buffer space is accepted by  $S_2$ .

We denote the last action of  $\varphi$  as  $\ell$ . We name  $\ell_0$  the last unmatched send transition pq!*a* of  $\varphi$  that is not  $\ell$ . We can therefore write  $\varphi$  as  $\varphi_0 \ell_0 \varphi_1 \ell$ , with  $\varphi_1$  minimal. I.e. there is no permutation such that  $\varphi_0 \ell \varphi'_0 \ell_0$ . In  $S_1$ , we have

$$S_1: s_0 \xrightarrow{\phi_0} \xrightarrow{\ell_0} \xrightarrow{\phi_1} s_1 \xrightarrow{\ell} s \tag{D.1}$$

By Lemma B.4, we have a trace  $\varphi_2$  such that:

$$S_1: s_0 \xrightarrow{\phi_0} \xrightarrow{\phi_0} s_1 \xrightarrow{\phi_2} \xrightarrow{\phi_0} s_1' \tag{D.2}$$

**Case**  $\varphi_2 = \varepsilon$ . Hence

$$S_1: s_0 \xrightarrow{\phi_0} \xrightarrow{\ell_0} \xrightarrow{\phi_1} s_1 \xrightarrow{\overline{\ell_0}} s'_1 \quad \text{and} \quad s_1 \xrightarrow{\ell} s$$
 (D.3)

Let  $\ell = p_1 q_1 ! b$ . Then by Lemma B.1 (3),  $s_1 \xrightarrow{\overline{\ell_0}} \ell s''$  as required. Case  $\varphi_2 = \ell_1 \cdot \varphi'_2$ .

- 1. If  $\ell = p_1 q_1 ! b$  and  $\ell_1 = p_2 q_2 ? c$ , then by Lemma B.1 (3),  $s_1 \xrightarrow{\ell_1} \ell s''$ . Hence we apply the induction on  $\varphi'_2$ .
- 2. If  $\ell = p_1 q_1 ! b$  and  $\ell_1 = p_2 q_2 ! c$ , then by directedness, we have three cases: (a)  $p_1 \neq p_2$  and  $q_1 \neq q_2$ . By Lemma B.1 (1), we have

$$s_1 \xrightarrow{\ell_2} s \xrightarrow{\ell} s'_2 \xrightarrow{\varphi'_2} s'_1$$
 (D.4)

Hence we conclude by the induction on  $\varphi'_2$ .

(b)  $p_1 = p_2$  and  $q_1 = q_2$  and  $b \neq c$ .

In this case, by Lemma B.4, there exists  $\varphi_3$  such that  $s_1 \xrightarrow{\ell} \varphi_3 \xrightarrow{\overline{\ell_0}}$ . Hence this case is subsumed into (a) or (c) below.

(c)  $p_1 = p_2$  and  $q_1 = q_2$  and b = c. Since  $\ell_0$  and  $\ell$  is not permutable, there is the causality such that  $t_0 \triangleleft t_1 \triangleleft \cdots \triangleleft t_n \triangleleft \cdots \triangleleft t_{n+m}$  with  $act(t_0) = \ell_0$ ,  $act(t_n) = \ell$  and  $act(t_{n+m}) = \overline{\ell_0}$ . We note that since  $l_0$  is the first outstanding output, by multiparty compatibility,  $t_i$   $(1 \le i \le n-1)$  does not include  $p_1q_1$ ?*a* for any *a*. Hence this case does not exist.

Applying Case (a), we can build in  $S_1$  a sequence of transitions that allows  $\ell$  using strictly less buffer space as:

$$S_1: s_0 \xrightarrow{\phi_0} \xrightarrow{\phi_0'} \stackrel{\ell_0}{\longrightarrow} \xrightarrow{\phi_3} \xrightarrow{\overline{\ell_0}} \stackrel{\ell}{\longrightarrow} \xrightarrow{\ell}$$
(D.5)

where  $\varphi_3$  is the result of the combination of  $\varphi_1$  and  $\varphi_2$  using commutation.

By the assumption ( $S_1 \approx_n S_2$ ),  $S_2$  can simulate this sequence as:

$$S_2: s_0 \xrightarrow{\varphi_0} \xrightarrow{\varphi_0'} \xrightarrow{\ell_0} \xrightarrow{\varphi_3} \xrightarrow{\overline{\ell_0}} \xrightarrow{\ell}$$
(D.6)

All the commutation steps used in  $S_1$  are also valid in  $S_2$  since they are solely based on causalities of the transition sequences. We therefore can permute (D.6) back to:

$$S_2: s_0 \xrightarrow{\phi_0} \xrightarrow{\ell_0} \xrightarrow{\phi_3} \xrightarrow{\ell}$$
(D.7)

It concludes this proof.